

MECHANICAL TECHNOLOGY INC

Form 8-K

June 08, 2018

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934**

Date of Report (Date of Earliest Event Reported): June 6, 2018

**MECHANICAL TECHNOLOGY, INCORPORATED**

(Exact name of registrant as specified in its charter)

New York 000-06890 14-1462255  
(State or Other Jurisdiction (Commission File Number) (IRS Employer  
of Incorporation) Identification No.)

325 Washington Avenue Extension, Albany, New York 12205

(Address of principal executive offices) (Zip Code)

Registrant's Telephone Number, Including Area Code: (518) 218-2550

(Former Name or Former Address, If Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))  
Indicate by check mark whether the registrant is an emerging growth company as defined in as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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**Section 5 – Corporate Governance and Management**

**Item 5.07 Submission of Matters to a Vote of Security Holders.**

(a-b) Mechanical Technology, Incorporated held its Annual Meeting of Stockholders on June 6, 2018 (the “Annual Meeting”). At the Annual Meeting, the Company’s stockholders:

1. Elected as director Edward R. Hirshfield to hold office until the 2021 Annual Meeting of Stockholders or until his successor is duly elected and qualified;
2. Elected as director William P. Phelan to hold office until the 2021 Annual Meeting of Stockholders or until his successor is duly elected and qualified; and
3. Approved the advisory non-binding vote on executive officer compensation.

At the Annual Meeting, the stockholders voted as follows:

<u>Matter</u>	<u>Votes Against /</u>			<u>Broker Non-Votes</u>
	<u>Votes For</u>	<u>Withheld</u>	<u>Abstentions</u>	
1. Election of Edward R. Hirshfield	4,994,466	487,559	N/A	N/A
2. Election of William P. Phelan	4,748,907	733,118	N/A	N/A
3. Approval of the advisory non-binding vote on executive officer compensation	4,697,367	492,100	292,558	N/A

**SIGNATURES**

Pursuant to the requirement of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

MECHANICAL TECHNOLOGY, INCORPORATED

Date: June 8, 2018

By:  
Name:  
Title:

/s/ Frederick W. Jones  
Frederick W. Jones  
Chief Executive Officer and Chief Financial  
Officer

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