

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

Nicholas-Applegate Equity & Convertible Income Fund  
Form NSAR-A  
September 25, 2009

PAGE 1  
000 A000000 07/31/2009  
000 C000000 0001383441  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 NICHOLAS-APPLEGATE EQUITY & CONVERT INCOME FD  
001 B000000 811-21989  
001 C000000 2127393371  
002 A000000 1345 AVENUE OF AMERICAS  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10105  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-31227  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10105  
008 A000002 NICHOLAS-APPLEGATE CAPITAL MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-21442  
008 D010002 SAN DIEGO  
008 D020002 CA  
008 D030002 92101  
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
010 B000001 801-31227  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10105  
012 A000001 PNC GLOBAL INVESTMENT SERVICING  
012 B000001 84-58023  
PAGE 2  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK

# Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

013 B020001 NY  
013 B030001 10017  
014 A000001 ALLIANZ GLOBAL INVESTORS DISTRIBUTORS LLC  
014 B000001 8-041811  
014 A000002 NICHOLAS-APPLEGATE SECURITIES LLC  
014 B000002 8-045406  
014 A000003 ALLIANZ LIFE FINANCIAL SERVICES LLC  
014 B000003 8-013630  
014 A000004 QUESTAR CAPITAL CORP.  
014 B000004 8-050174  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 78  
019 C000000 ALLIANZGLO  
020 A000001 INSTINET LLC  
020 B000001 13-2625132  
020 C000001 5  
020 A000002 HOWARD WEILL, INC.  
020 C000002 3  
020 A000003 DEUTSCHE BANK AG  
020 B000003 13-2944980  
020 C000003 3  
020 A000004 JANNEY MONTGOMERY SCOTT, LLC  
020 B000004 23-0731260  
020 C000004 2  
020 A000005 LAZARD FRERES AND CO. LLC  
020 B000005 13-5545100  
020 C000005 2  
020 A000006 JEFFERIES GROUP, INC.  
020 B000006 95-4719745  
020 C000006 1  
020 A000007 BANK OF AMERICA CORP.  
020 B000007 56-2058466  
020 C000007 1  
020 C000008 0  
020 C000009 0  
020 C000010 0  
021 000000 17  
022 A000001 CREDIT SUISSE GROUP  
PAGE 3  
022 B000001 13-5659485  
022 C000001 22432  
022 D000001 22416  
022 A000002 BARCLAYS CAPITAL, INC.  
022 B000002 05-0364642  
022 C000002 15320  
022 D000002 17237  
022 A000003 WELLS FARGO & CO.  
022 B000003 41-1508325  
022 C000003 9074  
022 D000003 9068  
022 A000004 MORGAN STANLEY  
022 B000004 36-3145972  
022 C000004 11418  
022 D000004 6092  
022 A000005 PERSHING LLC

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

022 B000005 13-2741729  
 022 C000005 1389  
 022 D000005 892  
 022 A000006 OPPENHEIMER HOLDINGS, INC.  
 022 B000006 98-0080034  
 022 C000006 1129  
 022 D000006 1128  
 022 A000007 JEFFERIES GROUP, INC.  
 022 B000007 95-4719745  
 022 C000007 1856  
 022 D000007 0  
 022 A000008 BANK OF AMERICA CORP.  
 022 B000008 56-2058466  
 022 C000008 1394  
 022 D000008 0  
 022 A000009 JP MORGAN CHASE & CO.  
 022 B000009 13-2624428  
 022 C000009 1210  
 022 D000009 0  
 022 A000010 CITIGROUP, INC.  
 022 B000010 52-1568099  
 022 C000010 0  
 022 D000010 1144  
 023 C000000 65329  
 023 D000000 57978  
 024 000000 Y  
 025 A000001 WELLS FARGO & CO.  
 025 B000001 41-1508325  
 025 C000001 D  
 025 D000001 7973  
 025 A000002 CITIGROUP, INC.  
 025 B000002 52-1568099  
 025 C000002 E  
 025 D000002 3905  
 025 A000003 BANK OF AMERICA CORP.  
 PAGE 4  
 025 B000003 56-2058466  
 025 C000003 E  
 025 D000003 3549  
 025 A000004 JP MORGAN CHASE & CO.  
 025 B000004 13-2624428  
 025 C000004 E  
 025 D000004 3239  
 025 A000005 DELETE  
 025 D000005 0  
 025 D000006 0  
 025 D000007 0  
 025 D000008 0  
 026 A000000 N  
 026 B000000 N  
 026 C000000 N  
 026 D000000 Y  
 026 E000000 N  
 026 F000000 N  
 026 G010000 N  
 026 G020000 N  
 026 H000000 N  
 027 000000 N  
 028 A010000 0  
 028 A020000 0  
 028 A030000 0  
 028 A040000 0

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

|     |         |   |
|-----|---------|---|
| 028 | B010000 | 0 |
| 028 | B020000 | 0 |
| 028 | B030000 | 0 |
| 028 | B040000 | 0 |
| 028 | C010000 | 0 |
| 028 | C020000 | 0 |
| 028 | C030000 | 0 |
| 028 | C040000 | 0 |
| 028 | D010000 | 0 |
| 028 | D020000 | 0 |
| 028 | D030000 | 0 |
| 028 | D040000 | 0 |
| 028 | E010000 | 0 |
| 028 | E020000 | 0 |
| 028 | E030000 | 0 |
| 028 | E040000 | 0 |
| 028 | F010000 | 0 |
| 028 | F020000 | 0 |
| 028 | F030000 | 0 |
| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |

PAGE 5

|     |         |       |
|-----|---------|-------|
| 030 | A000000 | 0     |
| 030 | B000000 | 0.00  |
| 030 | C000000 | 0.00  |
| 031 | A000000 | 0     |
| 031 | B000000 | 0     |
| 032 | 000000  | 0     |
| 033 | 000000  | 0     |
| 035 | 000000  | 0     |
| 036 | B000000 | 0     |
| 038 | 000000  | 0     |
| 042 | A000000 | 0     |
| 042 | B000000 | 0     |
| 042 | C000000 | 0     |
| 042 | D000000 | 0     |
| 042 | E000000 | 0     |
| 042 | F000000 | 0     |
| 042 | G000000 | 0     |
| 042 | H000000 | 0     |
| 043 | 000000  | 0     |
| 044 | 000000  | 0     |
| 045 | 000000  | Y     |
| 046 | 000000  | N     |
| 047 | 000000  | Y     |
| 048 | 000000  | 1.000 |
| 048 | A010000 | 0     |
| 048 | A020000 | 0.000 |
| 048 | B010000 | 0     |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0     |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0     |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0     |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0     |
| 048 | F020000 | 0.000 |

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

048 G010000 0  
 048 G020000 0.000  
 048 H010000 0  
 048 H020000 0.000  
 048 I010000 0  
 048 I020000 0.000  
 048 J010000 0  
 048 J020000 0.000  
 048 K010000 0  
 048 K020000 0.000  
 049 000000 N  
 050 000000 N  
 051 000000 N  
 052 000000 N  
 053 A000000 N  
     PAGE 6  
 054 A000000 Y  
 054 B000000 Y  
 054 C000000 N  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 Y  
 054 H000000 Y  
 054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 054 L000000 N  
 054 M000000 Y  
 054 N000000 N  
 054 O000000 N  
 055 A000000 N  
 055 B000000 N  
 056 000000 Y  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 N  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0  
 062 G000000 0.0  
 062 H000000 0.0  
 062 I000000 0.0  
 062 J000000 0.0  
 062 K000000 0.0  
 062 L000000 0.0  
 062 M000000 0.0  
 062 N000000 0.0  
 062 O000000 0.0  
 062 P000000 0.0  
 062 Q000000 0.0  
 062 R000000 0.0  
 063 A000000 0  
 063 B000000 0.0  
 066 A000000 Y  
 066 E000000 Y

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

067 000000 N  
 068 A000000 N  
 068 B000000 N  
 069 000000 N  
 070 A010000 Y  
 PAGE 7  
 070 A020000 N  
 070 B010000 Y  
 070 B020000 Y  
 070 C010000 Y  
 070 C020000 N  
 070 D010000 Y  
 070 D020000 Y  
 070 E010000 Y  
 070 E020000 N  
 070 F010000 Y  
 070 F020000 N  
 070 G010000 Y  
 070 G020000 N  
 070 H010000 Y  
 070 H020000 N  
 070 I010000 N  
 070 I020000 N  
 070 J010000 Y  
 070 J020000 Y  
 070 K010000 Y  
 070 K020000 Y  
 070 L010000 Y  
 070 L020000 N  
 070 M010000 Y  
 070 M020000 N  
 070 N010000 Y  
 070 N020000 N  
 070 O010000 Y  
 070 O020000 N  
 070 P010000 N  
 070 P020000 N  
 070 Q010000 N  
 070 Q020000 N  
 070 R010000 Y  
 070 R020000 N  
 071 A000000 80682  
 071 B000000 80403  
 071 C000000 311335  
 071 D000000 26  
 072 A000000 6  
 072 B000000 2466  
 072 C000000 4426  
 072 D000000 0  
 072 E000000 64  
 072 F000000 1567  
 072 G000000 0  
 072 H000000 0  
 072 I000000 14  
 072 J000000 34  
 072 K000000 0  
 072 L000000 28  
 PAGE 8  
 072 M000000 27  
 072 N000000 0  
 072 O000000 0  
 072 P000000 0

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

|             |        |
|-------------|--------|
| 072 Q000000 | 0      |
| 072 R000000 | 36     |
| 072 S000000 | 9      |
| 072 T000000 | 0      |
| 072 U000000 | 0      |
| 072 V000000 | 0      |
| 072 W000000 | 22     |
| 072 X000000 | 1737   |
| 072 Y000000 | 0      |
| 072 Z000000 | 5219   |
| 072AA000000 | 0      |
| 072BB000000 | 477    |
| 072CC010000 | 70566  |
| 072CC020000 | 0      |
| 072DD010000 | 12490  |
| 072DD020000 | 0      |
| 072EE000000 | 0      |
| 073 A010000 | 0.5600 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 0      |
| 074 B000000 | 0      |
| 074 C000000 | 7973   |
| 074 D000000 | 64839  |
| 074 E000000 | 33724  |
| 074 F000000 | 259514 |
| 074 G000000 | 0      |
| 074 H000000 | 0      |
| 074 I000000 | 0      |
| 074 J000000 | 1057   |
| 074 K000000 | 0      |
| 074 L000000 | 1578   |
| 074 M000000 | 12     |
| 074 N000000 | 368697 |
| 074 O000000 | 5292   |
| 074 P000000 | 292    |
| 074 Q000000 | 0      |
| 074 R010000 | 0      |
| 074 R020000 | 0      |
| 074 R030000 | 990    |
| 074 R040000 | 180    |
| 074 S000000 | 0      |
| 074 T000000 | 361943 |
| 074 U010000 | 22304  |
| 074 U020000 | 0      |
| 074 V010000 | 16.23  |
| PAGE 9      |        |
| 074 V020000 | 0.00   |
| 074 W000000 | 0.0000 |
| 074 X000000 | 8      |
| 074 Y000000 | 9130   |
| 075 A000000 | 0      |
| 075 B000000 | 315934 |
| 076 000000  | 14.86  |
| 077 A000000 | Y      |
| 077 B000000 | N      |
| 077 C000000 | Y      |
| 077 D000000 | N      |
| 077 E000000 | N      |
| 077 F000000 | N      |
| 077 G000000 | N      |

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

077 H000000 N  
077 I000000 N  
077 J000000 N  
077 K000000 N  
077 L000000 N  
077 M000000 N  
077 N000000 N  
077 O000000 N  
077 P000000 N  
077 Q010000 N  
077 Q020000 N  
077 Q030000 N  
078 000000 N  
080 C000000 0  
081 B000000 0  
082 B000000 0  
083 B000000 0  
084 B000000 0  
086 A010000 0  
086 A020000 0  
086 B010000 0  
086 B020000 0  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 65370K100  
087 A030000 NIE  
087 B010000 CONVERTIBLE BONDS & NOTES  
087 B020000 65370K100  
087 B030000 NIE  
087 C010000 CONVERTIBLE PREFERRED STOCK  
PAGE 10  
087 C020000 65370K100  
087 C030000 NIE  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE LAWRENCE ALTADONNA  
TITLE TREASURER & CEO