COLUMBUS MCKINNON CORP

Form 4 July 02, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **TEVENS TIMOTHY T** Issuer Symbol COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Last) (First) (Middle) 3. Date of Earliest Transaction _X_ Director 10% Owner X_ Officer (give title Other (specify (Month/Day/Year) below) 140 JOHN JAMES AUDUBON 07/01/2013 President & CEO **PARKWAY** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

AMHERST, NY 14228-1197

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securionor Dispo (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock							267,252 <u>(1)</u>	D	
Common Stock	07/01/2013		M	1,944	A	\$ 5.46	269,196 (1)	D	
Common Stock	07/01/2013		M	5,276	A	\$ 5.46	274,472 (1)	D	
Common Stock	07/01/2013		S	3,800	D	\$ 22.0013	270,672 (1)	D	
Common Stock							6,038.6504 (2)	D	

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Common Stock 7,000 I By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8		5. Numb on Deriv Securitic Acquire (A) or Dispose (D) (Instr. 3 and 5)	rative es d d of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Incentive Stock Options (Right to Buy)	\$ 5.46	07/01/2013		M		1,944		05/17/2005	05/16/2014	Common Stock	1,9
Non-Qualified Stock Options (Right to Buy)	\$ 5.46	07/01/2013		M		5,276		05/17/2005	05/16/2014	Common Stock	5,2
Incentive Stock Options (Right to Buy)	\$ 28.45							05/19/2009	05/18/2018	Common Stock	8,7 (5
Non-Qualified Stock Options (Right to Buy)	\$ 13.27							05/18/2010	05/17/2019	Common Stock	45,1 (6
Non-Qualified Stock Options (Right to Buy)	\$ 18.24							05/17/2011	05/16/2020	Common Stock	33,1
Non-Qualified Stock Options (Right to Buy)	\$ 19.5							05/23/2012	05/22/2021	Common Stock	31,9
Non-Qualified Stock Options (Right to Buy)	\$ 13.43							05/21/2013	05/20/2022	Common Stock	53,5 (9
Non-Qualified Stock Options	\$ 18.95							05/20/2014	05/20/2023	Common Stock	44,6

(Right to Buy)

Reporting Owners

Reporting Owner Name / Address	Relationships					
. 9	Director	10% Owner	Officer	Other		
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	X		President & CEO			

Signatures

Mary C. O'Connor, Power of Attorney for Timothy T. Tevens

07/02/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Includes 84,443 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 6,868 shares become fully vested and non-forfeitable on 5/17/2014; 8,449 shares become fully vested and non-forfeitable 50% per year for two years beginning
- (1) 5/23/2014; 20,032 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014, 21,183 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 27,911 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employoee Stock Ownership Plan, as amended (the "ESOP").
- (3) The reporting person exercised the remaining 1,944 options on 7/1/13.
- (4) The reporting person exercised the remaining 5,276 options on 7/1/13.
- (5) All exercisable, pursuant to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years, beginning 5/21/2013, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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