Edgar Filing: UNITED TECHNOLOGIES CORP /DE/ - Form 4

UNITED TECHNOLOGIES CORP /DE/

Form 4

September 20, 2007

Check this box

if no longer

subject to

Section 16.

Form 4 or

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5
obligations
may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * CHENEVERT LOUIS | | | 2. Issuer Name and Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ [UTX] | | | | 6 | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|---|---|--------------|---|---------------------|---|--|----------------|---|--|-----------------------|--|
| | (First) (SECHNOLOGIES ATION, ONE AL PLAZA | In/Day/Tear) | | | | X Director 10% Owner X Officer (give title Other (specify below) President and COO | | | | | |
| | | | | led(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| (City) | (State) | (Zip) | Tak | la I. Nam I | | C | | Person | Damatiaial | l O d | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Executio any | 2A. Deemed Execution Date, if | | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect | |
| Common Stock | 09/20/2007 | | | Code V S | 800 | (D) | Price \$ 78.64 | 111,609 | D | | |
| Common Stock | 09/20/2007 | | | S | 1,100 | D | \$ 78.65 | 110,509 | D | | |
| Common Stock | 09/20/2007 | | | S | 100 | D | \$ 78.655 | 110,409 | D | | |
| Common Stock | 09/20/2007 | | | S | 100 | D | \$ 78.659 | 110,309 | D | | |
| | 09/20/2007 | | | S | 800 | D | \$ 78.66 | 109,509 | D | | |

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| Common Stock | | | | | | | | |
|-----------------|------------|---|-------|---|--------------|-------------------------------|---|----------------------------------|
| Common Stock | 09/20/2007 | S | 100 | D | \$ 78.669 | 109,409 | D | |
| Common Stock | 09/20/2007 | S | 1,049 | D | \$ 78.67 | 108,360 | D | |
| Common Stock | 09/20/2007 | S | 100 | D | \$ 78.688 | 108,260 | D | |
| Common Stock | 09/20/2007 | S | 300 | D | \$ 78.69 | 107,960 | D | |
| Common Stock | 09/20/2007 | S | 600 | D | \$ 78.7 | 107,360 | D | |
| Common Stock | 09/20/2007 | S | 100 | D | \$ 78.71 | 107,260 | D | |
| Common Stock | 09/20/2007 | S | 300 | D | \$ 78.719 | 106,960 | D | |
| Common Stock | 09/20/2007 | S | 200 | D | \$ 78.745 | 106,760 <u>(1)</u> <u>(2)</u> | D | |
| Common Stock | | | | | | 2,993.046 | I | By Savings Plan Trustee |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | |
|---|---|--------------------------------------|---|---------------------------------------|---|---------------------|--------------------|--|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|-------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| CHENEVERT LOUIS UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101 | X | | President and COO | | | | | |

Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact

09/20/2007

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Form 4 represents the second of two Form 4s to be filed today due to the sale of 19,249 shares of United Technologies Corporation common stock on September 20, 2007.
- (2) The reporting person also directly owns 2,400 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3