Jassy Andrew R Form 4

# November 19, 2018

Check this box

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

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obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** Jassy Andrew R	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
(Last) (First) (Middle)	AMAZON COM INC [AMZN] 3. Date of Earliest Transaction	(Check all applicable)		
P.O. BOX 81226	(Month/Day/Year) 11/15/2018	Director 10% Owner _X Officer (give title Other (specify below)  CEO Amazon Web Services		
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
SEATTLE, WA 98108-1226		Form filed by More than One Reporting Person		
(6: )				

(City)	(State)	(Zip) Ta	ble I - Non	ı-Derivati	ve Sec	curities Acquire	d, Disposed of, o	r Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	orDisposed (Instr. 3,	d of (I 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.01 per share	11/15/2018		M	952	A	\$ 0	91,183	D	
Common Stock, par value \$.01 per share	11/15/2018		M	3,157	A	\$ 0	94,340	D	
Common Stock, par	11/15/2018		S(1)	100	D	\$ 1,549.6	94,240	D	

value \$.01 per share							
Common Stock, par value \$.01 per share	11/15/2018	S(1)	132	D	\$ 1,553.2409	94,108	D
Common Stock, par value \$.01 per share	11/15/2018	S(1)	200	D	\$ 1,554.775 (3)	93,908	D
Common Stock, par value \$.01 per share	11/15/2018	S(1)	26	D	\$ 1,557.15	93,882	D
Common Stock, par value \$.01 per share	11/15/2018	S <u>(1)</u>	10	D	\$ 1,560.8	93,872	D
Common Stock, par value \$.01 per share	11/15/2018	S <u>(1)</u>	284	D	\$ 1,562.5168 (4)	93,588	D
Common Stock, par value \$.01 per share	11/15/2018	S <u>(1)</u>	37	D	\$ 1,563.85	93,551	D
Common Stock, par value \$.01 per share	11/15/2018	S <u>(1)</u>	100	D	\$ 1,567.15	93,451	D
Common Stock, par value \$.01 per share	11/15/2018	S <u>(1)</u>	37	D	\$ 1,569.5	93,414	D
Common Stock, par value	11/15/2018	S <u>(1)</u>	100	D	\$ 1,571.21	93,314	D

\$.01 per share								
Common Stock, par value \$.01 per share	11/15/2018	S <u>(1)</u>	100	D	\$ 1,572.54	93,214	D	
Common Stock, par value \$.01 per share	11/15/2018	S <u>(1)</u>	300	D	\$ 1,574.45 (5)	92,914	D	
Common Stock, par value \$.01 per share	11/15/2018	S <u>(1)</u>	200	D	\$ 1,576.415 (6)	92,714	D	
Common Stock, par value \$.01 per share	11/15/2018	S <u>(1)</u>	100	D	\$ 1,586.68	92,614	D	
Common Stock, par value \$.01 per share						489.125	I	Held by the reporting person's Amazon.com 401(k) plan account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisab	le and	7. Title and A	Amount o
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	nof Derivative	Expiration Date		Underlying S	Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year	.)	(Instr. 3 and	4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired				
	Derivative				(A) or				
	Security				Disposed of				
					(D)				
					(Instr. 3, 4,				
					and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration	Title	Amoun
					(-)		Date		or

								of Shares
Restricted Stock Unit Award	\$ 0 <u>(7)</u>	11/15/2018	М	952	05/15/2017(8)	02/15/2022	Common Stock, par value \$.01 per share	952
Restricted Stock Unit Award	\$ 0 <u>(7)</u>	11/15/2018	М	3,157	05/15/2018(9)	02/15/2020	Common Stock, par value \$.01 per share	3,157

## **Reporting Owners**

SEATTLE, WA 98108-1226

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Jassy Andrew R							
P.O. BOX 81226			CEO Amazon Web Services				

## **Signatures**

/s/ by Mark F. Hoffman as attorney-in-fact for Andrew R. Jassy, CEO Amazon Web Services

11/19/2018

Numbe

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$1,553.35 and the lowest price at which shares were sold was \$1,553.35 and the lowest price at which shares were sold was \$1,552.90.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$1,554.87 and the lowest price at which shares were sold was \$1,554.68.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$1,562.64 and the lowest price at which shares were sold was \$1,562.32.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$1,574.96 and the lowest price at which shares were sold was \$1,574.15.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$1,576.91 and the lowest price at which shares were sold was \$1,575.92.
- (7) Converts into Common Stock on a one-for-one basis.
- (8) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 494 shares on each of May 15, 2017, August 15, 2017, and November 15, 2017; 495 shares on February 15, 2018; 952 shares on each of May 15, 2018, August 15, 2018, November 15, 2018, and February 15, 2019; 1,138 shares on May 15, 2019; 1,139 shares on each of August 15, 2019, November 15, 2019, and February 15, 2020; 2,791 shares on each of May 15, 2020, August 15, 2020, and November 15, 2020; 2,792 shares on

Reporting Owners 4

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February 15, 2021; 2,153 shares on each of May 15, 2021, August 15, 2021, and November 15, 2021; and 2,154 shares on February 15, 2022.

(9) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 3,156 shares on each of May 15, 2018 and August 15, 2018; 3,157 shares on each of November 15, 2018 and February 15, 2019; 2,433 shares on May 15, 2019; and 2,434 shares on each of August 15, 2019, November 15, 2019, and February 15, 2020.

#### **Remarks:**

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer, Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.