

Blackburn Jeffrey M
Form 4
November 19, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Blackburn Jeffrey M

2. Issuer Name and Ticker or Trading Symbol
AMAZON COM INC [AMZN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

P.O. BOX 81226

11/15/2018

Senior Vice President

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SEATTLE, WA 98108-1226

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (D) | Price |
| Common Stock, par value \$0.01 per share | 11/15/2018 | | M | | 1,668 | A | \$ 0 |
| Common Stock, par value \$0.01 per share | 11/15/2018 | | M | | 2,441 | A | \$ 0 |
| Common Stock, par value \$0.01 per share | 11/15/2018 | | S ⁽¹⁾ | | 200 | D | \$ 1,552.17 <u>(2)</u> |

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| | | | | | | | |
|-------------------------------|------------|------------------------|-----|---|------------------|--------|---|
| value \$.01 per share | | | | | | | |
| Common Stock, par value | 11/15/2018 | <u>S⁽¹⁾</u> | 100 | D | \$ 1,554.61 | 46,683 | D |
| \$.01 per share | | | | | | | |
| Common Stock, par value | 11/15/2018 | <u>S⁽¹⁾</u> | 300 | D | \$ 1,556.7367 | 46,383 | D |
| \$.01 per share | | | | | <u>(3)</u> | | |
| Common Stock, par value | 11/15/2018 | <u>S⁽¹⁾</u> | 100 | D | \$ 1,561.53 | 46,283 | D |
| \$.01 per share | | | | | | | |
| Common Stock, par value | 11/15/2018 | <u>S⁽¹⁾</u> | 155 | D | \$ 1,562.989 | 46,128 | D |
| \$.01 per share | | | | | <u>(4)</u> | | |
| Common Stock, par value | 11/15/2018 | <u>S⁽¹⁾</u> | 200 | D | \$ 1,564.015 | 45,928 | D |
| \$.01 per share | | | | | <u>(5)</u> | | |
| Common Stock, par value | 11/15/2018 | <u>S⁽¹⁾</u> | 100 | D | \$ 1,565.78 | 45,828 | D |
| \$.01 per share | | | | | | | |
| Common Stock, par value | 11/15/2018 | <u>S⁽¹⁾</u> | 100 | D | \$ 1,568.88 | 45,728 | D |
| \$.01 per share | | | | | | | |
| Common Stock, par value | 11/15/2018 | <u>S⁽¹⁾</u> | 200 | D | \$ 1,572.605 | 45,528 | D |
| \$.01 per share | | | | | <u>(6)</u> | | |
| Common Stock, par value | 11/15/2018 | <u>S⁽¹⁾</u> | 100 | D | \$ 1,574.81 | 45,428 | D |

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| | | | | | | | | |
|-------------------------|------------|------------------|-----|---|-------------|---------|---|---|
| \$.01 per share | | | | | | | | |
| Common Stock, par value | 11/15/2018 | S ⁽¹⁾ | 100 | D | \$ 1,577.01 | 45,328 | D | |
| \$.01 per share | | | | | | | | |
| Common Stock, par value | 11/15/2018 | S ⁽¹⁾ | 100 | D | \$ 1,578.75 | 45,228 | D | |
| \$.01 per share | | | | | | | | |
| Common Stock, par value | 11/15/2018 | S ⁽¹⁾ | 100 | D | \$ 1,583.06 | 45,128 | D | |
| \$.01 per share | | | | | | | | |
| Common Stock, par value | 11/15/2018 | S ⁽¹⁾ | 100 | D | \$ 1,585.65 | 45,028 | D | |
| \$.01 per share | | | | | | | | |
| Common Stock, par value | 11/15/2018 | S ⁽¹⁾ | 100 | D | \$ 1,587.84 | 44,928 | D | |
| \$.01 per share | | | | | | | | |
| Common Stock, par value | | | | | | 20,000 | I | In trust |
| \$.01 per share | | | | | | | | |
| Common Stock, par value | | | | | | 476.564 | I | Held by the reporting person's Amazon.com 401(k) plan account |
| \$.01 per share | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$1,564.15 and the lowest price at which shares were sold was \$1,563.88.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$1,573.06 and the lowest price at which shares were sold was \$1,572.15.
- (7) Converts into Common Stock on a one-for-one basis.

This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 1,036 shares on each of May 15, 2017 and August 15, 2017; 1,037 shares on each of November 15, 2017 and February 15, 2018; 1,667 shares on each of May 15, 2018 and August 15, 2018; 1,668 shares on each of November 15, 2018 and February 15, 2019; 1,692 shares on each of May 15, 2019, August 15, 2019, November 15, 2019, and February 15, 2020; 2,791 shares on each of May 15, 2020, August 15, 2020, and November 15, 2020; 2,792 shares on February 15, 2021; 2,153 shares on each of May 15, 2021, August 15, 2021, and November 15, 2021; and 2,154 shares on February 15, 2022.

- (8) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 1,036 shares on each of May 15, 2017 and August 15, 2017; 1,037 shares on each of November 15, 2017 and February 15, 2018; 1,667 shares on each of May 15, 2018 and August 15, 2018; 1,668 shares on each of November 15, 2018 and February 15, 2019; 1,692 shares on each of May 15, 2019, August 15, 2019, November 15, 2019, and February 15, 2020; 2,791 shares on each of May 15, 2020, August 15, 2020, and November 15, 2020; 2,792 shares on February 15, 2021; 2,153 shares on each of May 15, 2021, August 15, 2021, and November 15, 2021; and 2,154 shares on February 15, 2022.
- (9) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 2,441 shares on each of May 15, 2018, August 15, 2018, November 15, 2018, and February 15, 2019; 1,880 shares on each of May 15, 2019 and August 15, 2019; and 1,881 shares on each of November 15, 2019 and February 15, 2020.

Remarks:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

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