Edgar Filing: UMPQUA HOLDINGS CORP - Form 5

UMPQUA HOLDINGS CORP

Form 5

Class A

Stock

Class A

Common

Common

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January 25, 2007

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer FARNSWORTH RON L Symbol UMPQUA HOLDINGS CORP (Check all applicable) [UMPQ] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner X _ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2006 Principal Accounting Officer ONE SW COLUMBIA STREET, **SUITE 1200** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) PORTLAND, Â ORÂ 97258 _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 5. Amount of 6. Ownership 7. Nature of 4. Securities Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) (D) Price Amount Class A Â Â Â Â Â Â Common Â 5,500 D Stock

By 401(k)

By IRA

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Stock

Class A
Common Â Â Â Â Â Â Â 2,274 I

Reminder: Report on a separate line for each class of Persons who respond to the collection of information

SEC 2270

securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (Right to Buy) - Granted 1/2/2002	\$ 13.34	Â	Â	Â	Â	Â	01/02/2003(1)	01/02/2012	Class A Common Stock	1,500
Stock Option (Right to Buy) - Granted 1/21/2005	\$ 23.49	Â	Â	Â	Â	Â	01/20/2006(2)	01/20/2015	Class A Common Stock	2,500
Stock Option (Right to Buy) - Granted 3/21/2000	\$ 5.7	Â	Â	Â	Â	Â	03/21/2001(1)	03/21/2010	Class A Common Stock	882
Stock Option (Right to Buy) - Granted	\$ 22.94	Â	Â	Â	Â	Â	04/19/2006(2)	04/19/2015	Class A Common Stock	10,000

4/20/2005

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

FARNSWORTH RON L

ONE SW COLUMBIA STREET, SUITE 1200 Â Â Â Principal Accounting Officer Â

PORTLAND, ORÂ 97258

Signatures

By: by Steven L. Philpott - Attorney in Fact for 01/24/2007

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All options are fully vested.
- (2) Beginning on the day before the first anniversary of the grant date, the options vest 20% per year for five years.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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