## Edgar Filing: EVOLUTION PETROLEUM CORP - Form 4

#### **EVOLUTION PETROLEUM CORP**

Form 4 March 11, 2014

FORM 4

#### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box if no longer subject to

Expires:

January 31, 2005

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

·,

See Instruction 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*\*
Mazzanti Daryl V

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

**EVOLUTION PETROLEUM CORP** 

(Check all applicable)

[EPM]

(Last)

3. Date of Earliest Transaction

\_\_\_\_ Director
\_X\_\_ Officer (give title \_\_\_\_\_

\_\_\_\_ 10% Owner \_\_\_\_ Other (specify

2500 CITY WEST BLVD.,, SUITE

(First)

(Middle)

(Zip)

03/08/2014

(Month/Day/Year)

below)
V.P. Operations

2500 CITY WEST BLVD.,, SUITE

(Street)

(State)

1300

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

Table I. Non Darivative Securities Acquired Disposed of ar Ranaficially Ox

Issuer

below)

HOUSTON, TX 77042

(City)

(- 3)	()	1 able 1 - Non-Derivative Securities Acquired, Disposed of, or Benefic					
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON STOCK	03/08/2014		F	474 (1) D \$ 13.01	671 290	D	
COMMON STOCK	03/10/2014		F	723 (1) D \$ 13.01	670,567	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

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### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Title		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secur
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable Date	Title Number				
								of			
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
reporting o wher runner rudaress	

Director 10% Owner Officer Other

Mazzanti Daryl V

2500 CITY WEST BLVD., V.P. **SUITE 1300 Operations** HOUSTON, TX 77042

# **Signatures**

/s/ Daryl 03/11/2014 Mazzanti

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer of shares to pay income tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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