

YANCOPOULOS GEORGE

Form 4

December 14, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
YANCOPOULOS GEORGE

(Last) (First) (Middle)

777 OLD SAW MILL RIVER
ROAD

(Street)

TARRYTOWN, NY 10591

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
REGENERON
PHARMACEUTICALS INC
[REGN]

3. Date of Earliest Transaction
(Month/Day/Year)
11/02/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President and CSO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/02/2015		G		43,348	D	\$ 0	500,000	D	
Common Stock	12/17/2015		G		1,921	D	\$ 0	500,000	D	
Common Stock	12/16/2016		G		89,427	D	\$ 0	500,000	D	
Common Stock	12/14/2017		G		89,264	D	\$ 0	500,847	D	
	12/19/2017		G		242,150	D	\$ 0	847	D	

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Common Stock									
Common Stock	08/21/2018	G	V	847	D	\$ 0	0	D	
Common Stock	07/21/2017	G		176,223	D	\$ 0	323,777	I	By 2016 GRAT
Common Stock	07/24/2018	G	V	299,134	D	\$ 0	24,643	I	By 2016 GRAT
Common Stock	11/01/2018	G	V	24,643	D	\$ 0	0	I	By 2016 GRAT
Common Stock	08/03/2017	G		176,223	A	\$ 0	176,223	I	By 2017 GRAT
Common Stock	08/03/2018	G	V	101,187	D	\$ 0	75,036	I	By 2017 GRAT
Common Stock	11/02/2015	G		43,348	A	\$ 0	612,936	I	By Trust
Common Stock	12/17/2015	G		1,921	A	\$ 0	569,897	I	By Trust
Common Stock	12/16/2016	G		89,427	A	\$ 0	159,324	I	By Trust
Common Stock	07/21/2017	G		176,223	A	\$ 0	331,629	I	By Trust
Common Stock	08/03/2017	G		176,223	D	\$ 0	155,406	I	By Trust
Common Stock	12/14/2017	G		89,264	A	\$ 0	244,670	I	By Trust
Common Stock	12/19/2017	G		242,150	A	\$ 0	486,820	I	By Trust
Common Stock	02/16/2018	G	V	400,000	D	\$ 0	86,820	I	By Trust
Common Stock	07/24/2018	G	V	299,134	A	\$ 0	385,954	I	By Trust
Common Stock	08/03/2018	G	V	101,187	A	\$ 0	487,141	I	By Trust
Common Stock	08/21/2018	G	V	847	A	\$ 0	487,988	I	By Trust
Common Stock	08/22/2018	G	V	3,211	D	\$ 0	484,777	I	By Trust
Common Stock	11/16/2018	G	V	1,176	D	\$ 0	483,601	I	By Trust
	02/16/2018	G	V	400,000	A	\$ 0	400,000	I	

Common
StockBy 2018
GRATCommon
Stock

5,728

I

By 401(k)
Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 381.4	12/12/2018		A		129,013		<u>(1)</u>	12/12/2028	Common Stock	129,013

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
YANCOPOULOS GEORGE 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591	X		President and CSO	

Signatures

/s/ George D.
Yancopoulos

12/14/2018

__Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock option award vests in four equal annual installments, commencing one year after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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