

RELIANCE STEEL & ALUMINUM CO

Form 4

May 01, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
HANNAH DAVID H

2. Issuer Name **and** Ticker or Trading
Symbol
RELIANCE STEEL & ALUMINUM
CO [RS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

350 SOUTH GRAND
AVENUE, SUITE 5100

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
04/29/2014

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
CEO and Chairman

LOS ANGELES, CA 90071

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	04/29/2014		M		25,000	A	\$ 56.8
					237,000 ⁽¹⁾	I	
							Held by Trustees of The David H. and Joan B. Hannah Family Trust, dated April 13, 2010
Common Stock	04/29/2014		S		25,000	D	\$ 70.71
					212,000 ⁽¹⁾	I	
							Held by Trustees of

(2)

The David
H. and Joan
B. Hannah
Family
Trust, dated
April 13,
2010

Held by
Trustee of
Reliance
Steel &
Aluminum
Co.
Employee
Stock
Ownership
Plan

Common
Stock

27,723 I

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Options to Acquire Common Stock	\$ 56.8	04/29/2014		M	25,000	(3) 02/26/2015	Common Stock	25,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

HANNAH DAVID H
350 SOUTH GRAND AVENUE
SUITE 5100
LOS ANGELES, CA 90071

X

CEO and Chairman

Signatures

/s/ David H. Hannah by William A. Smith II as his
Attorney-in-Fact

05/01/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 32,000 restricted shares subject to vesting over time.

The price reported represents the weighted average sale price of the shares disposed of. The actual sale prices ranged from \$70.65 to \$70.80. The Reporting Person has provided to the Issuer and hereby undertakes to provide upon request by the U.S. Securities and Exchange Commission Staff or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

(3) The options vested and became exercisable in four equal annual installments beginning on February 26, 2009, which was the first anniversary of the date on which the options were granted.

In the aggregate, the Reporting Person beneficially owns 275,000 options to acquire common stock (with various exercise prices and expiration dates) as of the date of this report. In addition, the Reporting Person beneficially owns 140,000 restricted stock units subject to performance and/or service criteria.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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