## Edgar Filing: NACCO INDUSTRIES INC - Form 3

#### NACCO INDUSTRIES INC

Form 3

February 18, 2011

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

response...

3235-0104

0.5

Number: January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 Alfred M Rankin Jr 2011 **Grantor Retained Annuity Trust** 

(Last)

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

02/08/2011

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

5875 LANDERBROOK DRIVE,

SUITE 300

1. Title of Security

(Instr. 4)

(Street)

Director Officer

\_X\_ 10% Owner \_X\_ Other (give title below) (specify below)

NACCO INDUSTRIES INC [NC]

(Check all applicable)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

\*Member of a group Person

Form filed by More than One

Reporting Person

CLEVELAND. OHÂ 44124

(City) (State) (Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

By 2011 GRAT (1)

Form: Direct (D)

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Ι

Class A Common Stock 50,000

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise Price of

Derivative

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5) Derivative

(Instr. 4)

Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Class B Common Stock	(2)	(2)	Class A Common Stock	100,000	\$ 0	I	By 2011 GRAT (1)

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Alfred M Rankin Jr 2011 Grantor Retained Annuity Trust 5875 LANDERBROOK DRIVE, SUITE 300 CLEVELAND, OHÂ 44124

Â X Â \*Member of a group

# **Signatures**

/s/Suzanne S. Taylor, attorney-in-fact

02/18/2011

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 2011 Grantor Retained Annuity Trust. Alfred M. Rankin, Jr. serves as Trustee.
- (2) N/A

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#### **Remarks:**

\*As a member of a "group" deemed to own more than 10% of an equity security as a resultÂ

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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