Edgar Filing: NACCO INDUSTRIES INC - Form 3

NACCO INDUSTRIES INC Form 3 February 18, 2011 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB approval

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Alfred M Rankin Jr 2011 Grantor Retained Annuity Trust			2. Date of Event Requiring Statement (Month/Day/Year) 02/08/2011	NACCO INDUSTRIES INC [NC]					
(Last) (First) (Middle) 5875 LANDERBROOK DRIVE,				4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)		
SUITE 300				(Check all applicable)					
CLEVELAN	(Street) DirectorX 10% OfficerX Othe (give title below) (specify below) *Member of a group				r	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned								
1.Title of Secur (Instr. 4)	ity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	*		
Class A Con	nmon Stock	C	50,000		Ι	By 2	011 GRAT (1)		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a									
currently valid OMB control number. Table II - Derivative Securities Beneficially Owned (<i>e.g.</i> , puts, calls, warrants, options, convertible securities)									

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
			Derivative	Security:	

3235-0104

January 31,

2005

0.5

Number:

Expires:

response...

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Class B Common Stock	(2)	(2)	Class A Common Stock	100,000	\$ 0	Ι	By 2011 GRAT (1)

Reporting Owners

Reporting Owner Name / Address			Relationships				
			10% Owner	Officer	Other		
Alfred M Rankin Jr 2011 Grantor Retained Annuity Trust 5875 LANDERBROOK DRIVE, SUITE 300 CLEVELAND, OH 44124			ÂX	Â	*Member of a group		
Signatures							
/s/Suzanne S. Taylor, attorney-in-fact	02/18/2011						

<u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) 2011 Grantor Retained Annuity Trust. Alfred M. Rankin, Jr. serves as Trustee.

(2) N/A

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Remarks:

*As a member of a "group" deemed to own more than 10% of an equity security as a resultÂ

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.