Riccio Daniel J. Form 4 April 17, 2013

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

may continue. See Instruction

1(b).

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \* Riccio Daniel J.

(Last) (First)

1 INFINITE LOOP

(Street)

(State)

(Middle)

(Zin)

4. If Amendment, Date Original

APPLE INC [AAPL]

(Month/Day/Year)

04/15/2013

3. Date of Earliest Transaction

Filed(Month/Day/Year)

Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner X\_ Officer (give title Other (specify below)

Senior Vice President

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### CUPERTINO, CA 95014

(City)

| (City)                               | (State)                              | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |                                       |                              |              |  |  |   |  |  |
|--------------------------------------|--------------------------------------|--|--|---------------------------------------|------------------------------|--------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                      | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi<br>on(A) or D<br>(Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock                      | 04/15/2013                           |  | M                                      | 3,750                                 | A                            | ( <u>1</u> ) | 3,750  | D  |   |  |  |
| Common Stock (2)                     | 04/15/2013                           |  | F                                      | 1,576                                 | D                            | \$<br>419.85 | 2,174  | D  |   |  |  |
| Common<br>Stock                      | 04/15/2013                           |  | M                                      | 1,875                                 | A                            | <u>(1)</u>   | 4,049  | D  |   |  |  |
| Common Stock (2)                     | 04/15/2013                           |  | F                                      | 962                                   | D                            | \$<br>419.85 | 3,087  | D  |   |  |  |
| Common<br>Stock                      | 04/15/2013                           |  | M                                      | 2,500                                 | A                            | <u>(1)</u>   | 5,587  | D  |   |  |  |

#### Edgar Filing: Riccio Daniel J. - Form 4

Common 1,282 D \$ 4,305 04/15/2013 F D Stock (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Pr<br>Deriv<br>Secu<br>(Instr |
|---|---|---|---|---------------------------------------|---|--|--------------------|---|--|----------------------------------|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                  |
| Restricted<br>Stock Unit                            | (1)   | 04/15/2013                              |   | M                                     | 3,750   | <u>(3)</u>   | (3)                | Common<br>Stock   | 3,750                                  | ٥                                |
| Restricted<br>Stock Unit                            | <u>(1)</u>  | 04/15/2013                              |   | M                                     | 1,875   | <u>(4)</u>   | <u>(4)</u>         | Common<br>Stock   | 1,875                                  | ٥                                |
| Restricted<br>Stock Unit                            | (1)   | 04/15/2013                              |   | M                                     | 2,500   | <u>(5)</u>   | <u>(5)</u>         | Common<br>Stock   | 2,500                                  | ٥                                |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Officer Other Director 10% Owner

Riccio Daniel J. 1 INFINITE LOOP CUPERTINO, CA 95014

Senior Vice President

**Signatures** 

/s/ Gene D. Levoff, Attorney-in-fact for Daniel J.

Riccio 04/17/2013

> \*\*Signature of Reporting Person Date

2 Reporting Owners

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock. This transaction represents the settlement of vested restricted stock units in shares of common stock.
- (2) Shares withheld by Registrant to satisfy minimum statutory withholding requirements on vesting of restricted stock units.
- (3) This restricted stock unit award was granted September 26, 2009 and vests in semi-annual installments over a four year period with the first installment vesting on April 15, 2010.
- (4) This restricted stock unit award was granted October 5, 2010 and vests in semi-annual installments over a four year period with the first installment vesting on April 15, 2011.
- (5) This restricted stock unit award was granted October 10, 2011 and vests in semi-annual installments over a four year period with the first installment vesting on April 15, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.