### Edgar Filing: APPLE INC - Form 4

APPLE INC Form 4 June 21, 201 <b>FORM</b> Check th if no lon subject to Section Form 4 Form 5 obligation may com <i>See</i> Instu 1(b).	13 <b>A</b> 4 UNITED his box iger o 16. or Filed pur Section 17(	<b>MENT OF</b> rsuant to S (a) of the F	Was F CHAN Section 1 Public Ut	shington, GES IN SECUR 6(a) of th	, <b>D.C. 20</b> BENEFI RITIES e Securit ding Com	549 CCIA ies E ipany	L OWN xchange Act of	OMMISSION NERSHIP OF e Act of 1934, 1935 or Sectior 0	OMB Number: Expires: Estimated a burden hour response		
(Print or Type	Responses)										
COOK TIMOTHY D Symbo				Issuer Name <b>and</b> Ticker or Trading ubol PLE INC [AAPL]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (A	Middle)	3. Date of	f Earliest Ti	ransaction			(Check	к ан аррисабіе	)	
				Month/Day/Year) )6/21/2013				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) Chief Executive Officer			
				endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
CUPERTIN	NO, CA 95014							Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative S	Secur	ities Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8) Code V	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	06/21/2013			M	80,000	(D) A	<u>(1)</u>	93,858	D		
Stock Common Stock (2)	06/21/2013			F	41,391		\$ 413.5	52,467	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(1)</u>	06/21/2013		М	80,000	<u>(3)</u>	(3)	Common Stock	80,000	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
reporting officer tunio ( rear cos	Director	10% Owner	Officer	Other				
COOK TIMOTHY D 1 INFINITE LOOP CUPERTINO, CA 95014	Х		Chief Executive Officer					
Signatures								
/s/ Gene Levoff, Attorney-in-fa Cook	nothy D.	06/21/2013						

### <u>\*\*</u>Signature of Reporting Person Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock. This transaction represents the settlement of vested restricted stock units in shares of common stock.
- (2) Shares withheld by Registrant to satisfy minimum statutory withholding requirements on vesting of restricted stock units.

This award was granted on August 24, 2011, and was subsequently modified on June 21, 2013. Under the adopted modification, Mr. Cook will forfeit a portion of the award if Apple Inc. does not achieve certain performance criteria. As modified, assuming continued employment through the applicable vesting date and satisfaction of applicable performance-based criteria, the one million restricted stock units subject to the award vest as follows: 100,000 restricted stock units vest on August 24, 2016; 100,000 restricted stock units vest on

Date

(3) August 24, 2021; the balance of 800,000 restricted stock units is separated into ten equal tranches of 80,000 restricted stock units each that vest over the ten-year life of the award. This transaction represents the settlement, pursuant to the terms of the modified award, of 80,000 restricted stock units that vested upon the modification of the award on June 21, 2013. Each remaining tranche of 80,000 restricted stock units vests annually commencing August 24, 2013, assuming continued employment through the applicable vesting date and, with respect to a portion of each tranche, satisfaction of applicable performance-based criteria.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.