

WILLIAMS DAVID B  
Form 4  
January 04, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WILLIAMS DAVID B**

2. Issuer Name and Ticker or Trading Symbol  
**NACCO INDUSTRIES INC [NC]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

**NACCO INDUSTRIES, INC., 5875  
LANDERBROOK DRIVE, STE.  
220**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**12/14/2017**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Member of a group

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**MAYFIELD HEIGHTS, OH 44124**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D)			5. Amount of Securities Beneficially Owned or Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Code	V			
Class A Common Stock	12/14/2017		G	V	81	A	12,384	I	By Assoc II/Spouse <sup>(2)</sup>
Class A Common Stock	12/14/2017		G	V	163	A	6,899	I	By Assoc II <sup>(3)</sup>
Class A Common Stock	12/14/2017		G	V	163	D	12,221	I	By Assoc II/Spouse <sup>(2)</sup>
Class A Common Stock	12/14/2017		G	V	163	A	8,365	I	By Assoc

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Common Stock									II/Daughter <sup>(4)</sup>	
Class A Common Stock	12/14/2017		G V 163	D	<u>(1)</u>	12,058		I	By Assoc II/Spouse <sup>(2)</sup>	
Class A Common Stock	12/14/2017		G V 163	A	<u>(1)</u>	9,740		I	By Assoc II/Daughter2 <sup>(4)</sup>	
Class A Common Stock	01/02/2018		A <sup>(5)</sup>			556	A	<u>(1)</u>	D	
Class A Common Stock						68,094			I	By Spouse/Trust <sup>(6)</sup>
Class A Common Stock						2,553			I	Trust/Child 2 <sup>(7)</sup>
Class A Common Stock						3,927			I	By Trust/Child1 <sup>(7)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	<u>(1)</u>	12/14/2017		G	V 227	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	227
	<u>(1)</u>					<u>(1)</u>	<u>(1)</u>		69,458

