Shrewsberry John R. Form 4 April 17, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

0.5

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Shrewsberry John R. Issuer Symbol WELLS FARGO & (Check all applicable) COMPANY/MN [WFC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify \_X\_\_ Officer (give title (Month/Day/Year) below) 420 MONTGOMERY STREET 03/21/2018 Senior Executive VP & CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

SAN FRANCISCO, CA 94104

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

(City)	(State) (Z	Zip) Table	I - Nor	ı-De	erivative S	ecuriti	ies Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code		4. Securities Acq n(A) or Disposed (D) (Instr. 3, 4 and 5)		of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$1 2/3 Par	03/21/2018		Code	v V	Amount 81,938	or (D)	Price	(Instr. 3 and 4) 2,866	D	
Value Common Stock, \$1 2/3 Par Value	03/21/2018		G	V	81,938	A	\$0	345,982	I	Through Family Trust
Common Stock, \$1 2/3 Par Value	03/23/2018		G	V	2,466	D	\$ 0	400	D	

#### Edgar Filing: Shrewsberry John R. - Form 4

				Person	ıs wh	o resp	ond to the colle	ection of	SEC 1474
Reminder: Rep	port on a separate line for each class of secur	rities b	enef	icially own	ed dire	ectly or	indirectly.		
Common Stock, \$1 2/3 Par Value							7,738.7115 (1)	I	Through 401(k) Plan
Common Stock, \$1 2/3 Par Value	04/05/2018	G	V	400	A	\$0	348,848	I	Through Family Trust
Common Stock, \$1 2/3 Par Value	04/05/2018	G	V	400	D	\$0	0	D	
Common Stock, \$1 2/3 Par Value	03/23/2018	G	V	2,466	A	\$ 0	348,448	I	Through Family Trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

information contained in this form are not

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(9-02)

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. Number of		6. Date Exercisable and		7. Title and Amount of		8. Pr	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if				Expiration Date		Underlying Securities		Deri
Security	or Exercise		any			(Month/Day/Year)		(Instr. 3 and 4)		Secu	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	(Instr. 8) Acquired (A) or Disposed of						(Inst
	Derivative			*							
	Security			(D) (Instr. 3, 4,							
				(mstr. 5, 4, and 5)							
					una 5)	,					
							Dete	E:		Amount	
							Date Exercisable	Expiration Date	Title	or Number	
				Code V	(A)	(D)	Exercisable	Date		of Shares	
Phantom									Common Stock, \$1		
Stock	<u>(2)</u>	04/13/2018		D		0.2833	(3)	(3)	2/3 Par	0.2833	\$ 5
Units									Value		

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Senior Executive VP & CFO

Reporting Owners 2

Shrewsberry John R. 420 MONTGOMERY STREET SAN FRANCISCO, CA 94104

## **Signatures**

John R. Shrewsberry, by Anthony R. Augliera, as Attorney-in-Fact

04/17/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects share equivalent of units in the Wells Fargo ESOP Fund under the 401(k) Plan (the "Plan") as of March 31, 2018, as if investable cash equivalents held by Plan were fully invested in Wells Fargo & Company common stock.
- (2) Conversion price is 1-for-1. Includes shares held through supplemental 401(k) plan and deferred compensation plan.
- (3) Deferred compensation shares payable in installments based upon executive's election. Supplemental 401(k) plan shares payable upon retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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