

DUNAWAY BARRY C  
 Form 4  
 May 31, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**DUNAWAY BARRY C**

(Last) (First) (Middle)

**ONE STRAWBERRY LANE**

(Street)

**ORRVILLE, OH 44667-0280**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**J M SMUCKER Co [SJM]**

3. Date of Earliest Transaction (Month/Day/Year)  
**05/29/2018**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

President, Pet Food and Snacks

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)  | Code V Amount (D) Price   |  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|
|                                 |                           |                                      |                                   |                     |                                    |  |   |

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| (Instr. 3)           | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, and 5) | Code | V | (A)                  | (D) | Date Exercisable | Expiration Date | Title         | Amount or Number of Shares |
|----------------------|------------------------------|------------------|--|------|---|----------------------|-----|------------------|-----------------|---------------|----------------------------|
| Option Common Shares | \$ 111.86                    | 05/29/2018       |  | A    |   | 10,000<br><u>(1)</u> |     | 05/29/2018       | 03/19/2025      | Common Shares | 10,000                     |
| Option Common Shares | \$ 111.86                    |                  |  |      |   |                      |     | 06/15/2017       | 03/19/2025      | Common Shares | 20,000                     |

## Reporting Owners

| Reporting Owner Name / Address                                    | Relationships |           |                                |       |
|---|---------------|-----------|--------------------------------|-------|
|   | Director      | 10% Owner | Officer                        | Other |
| DUNAWAY BARRY C<br>ONE STRAWBERRY LANE<br>ORRVILLE, OH 44667-0280 |               |           | President, Pet Food and Snacks |       |

## Signatures

/s/ Jeannette L.  
Knudsen, POA

05/31/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On March 19, 2015, the reporting person was granted a performance-based non-statutory stock option to purchase 60,000 shares of common stock. The option vests in 3 equal annual installments based 50% on the Company's achievement of "synergy realization"

- (1) performance objectives and 50% on the Company's achievement of EBITDA performance objectives for each of the fiscal years ending April 30, 2016, 2017, and 2018. The "synergy realization" objective for the fiscal year ending April 30, 2018 was met, resulting in vesting of one-sixth of the total shares subject to the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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