

Higginbotham Robert C.T.  
Form 3  
January 10, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Higginbotham Robert C.T.  
(Last) (First) (Middle)

100 E. PRATT STREET  
(Street)

BALTIMORE,Â MDÂ 21202  
(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
01/01/2019

3. Issuer Name **and** Ticker or Trading Symbol  
PRICE T ROWE GROUP INC [TROW]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
Vice President

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

65,533.304

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable Expiration Date

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

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|                              |       |            |              | Shares |            | (I)<br>(Instr. 5) |   |
|------------------------------|-------|------------|--------------|--------|------------|-------------------|---|
| Stock Options (Right to Buy) | Â (1) | 02/21/2023 | Common Stock | 3,075  | \$ 69.6708 | D                 | Â |
| Stock Options (Right to Buy) | Â (2) | 09/10/2023 | Common Stock | 3,075  | \$ 70.2854 | D                 | Â |
| Stock Options (Right to Buy) | Â (3) | 02/19/2024 | Common Stock | 6,662  | \$ 77.9443 | D                 | Â |
| Stock Options (Right to Buy) | Â (4) | 09/09/2024 | Common Stock | 6,662  | \$ 78.4418 | D                 | Â |
| Stock Options (Right to Buy) | Â (5) | 02/19/2025 | Common Stock | 9,993  | \$ 80.9492 | D                 | Â |
| Stock Options (Right to Buy) | Â (6) | 09/10/2025 | Common Stock | 9,750  | \$ 70.92   | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                                         | Relationships |           |                  |       |
|------------------------------------------------------------------------|---------------|-----------|------------------|-------|
|                                                                        | Director      | 10% Owner | Officer          | Other |
| Higginbotham Robert C.T.<br>100 E. PRATT STREET<br>BALTIMORE, MD 21202 | Â             | Â         | Â Vice President | Â     |

## Signatures

/s/ Robert C.T.  
Higginbotham

01/08/2019

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 02/21/2013 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2014.

(2) 09/10/2013 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2014.

(3) 02/19/2014 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2015.

(4) 09/09/2014 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2015.

(5) 02/19/2015 Grant - The option vests 20% annually over a 5 year period beginning on 12/09/2016.

(6) 09/10/2015 Grant - The option vests 20% annually over a 5 year period beginning on 12/09/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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