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HATTON DEAN Form 3 June 16, 2010

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

 HATTON DEAN

(Last) (First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

06/16/2010

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Higher One Holdings, Inc. [ONE]

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O HIGHER ONE HOLDINGS, INC., 25 SCIENCE PARK

(Street)

Director _X__ Officer

10% Owner Other

(give title below) (specify below) President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting Person

NEW HAVEN. CTÂ 06511

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities

(Instr. 4)

Beneficially Owned

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Â

Direct (D) or Indirect (I) (Instr. 5)

Common stock 15,471 D

Common stock Ι By the Dean W. Hatton GRAT 300,000

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion

Ownership

6. Nature of Indirect Beneficial

Ownership or Exercise Form of

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock Option (Right to buy)	03/18/2005	03/17/2012	Common Stock	1,217,100	\$ 0.2	D	Â
Stock Option (Right to buy)	03/03/2007	03/02/2014	Common Stock	75,000	\$ 0.29	D	Â
Stock Option (Right to buy)	01/17/2009	01/16/2016	Common Stock	180,000	\$ 0.67	D	Â
Stock Option (Right to buy)	01/23/2010	01/22/2017	Common Stock	75,000	\$ 1.34	D	Â
Stock Option (Right to buy)	12/07/2010	12/06/2017	Common Stock	58,749	\$ 2.67	D	Â
Stock Option (Right to buy)	12/07/2009	12/06/2017	Common Stock	16,251	\$ 2.67	D	Â
Stock Option (Right to buy)	(1)	09/24/2018	Common Stock	375,000	\$ 4.59	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
HATTON DEAN C/O HIGHER ONE HOLDINGS, INC. 25 SCIENCE PARK NEW HAVEN Â CTÂ 06511	Â	Â	President and CEO	Â	

Signatures

/s/ Thomas D. Kavanaugh as attorney-in-fact for Dean Hatton 06/15/2010

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests at a rate of 25% on 9/25/2009, and in 36 equal monthly installments over the following three years, so that it will be fully vested after 9/25/2013.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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