

STEIPP THOMAS W  
Form 5  
February 14, 2012

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box if  
no longer subject  
to Section 16.  
Form 4 or Form  
5 obligations  
may continue.  
See Instruction  
1(b).  
Form 3 Holdings  
Reported  
Form 4  
Transactions  
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 1.0

1. Name and Address of Reporting Person \*  
STEIPP THOMAS W

(Last) (First) (Middle)

LIQUIDMETAL TECHNOLOGIES,  
INC., 30452 ESPERANZA

(Street)

2. Issuer Name **and** Ticker or Trading  
Symbol  
LIQUIDMETAL TECHNOLOGIES  
INC [LQMT]

3. Statement of Issuer's Fiscal Year Ended  
(Month/Day/Year)  
10/26/2011

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
President and CEO

RANCHO SANTA  
MARGARITA, CA 92688

(City) (State) (Zip)

4. If Amendment, Date Original  
Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of<br>(D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-----------------------------------------|
| Common<br>Stock                       | 10/26/2011                              | Â                                                           | S4 <sup>(1)</sup>                       | 15,000 D<br>Amount (D) Price                                               | \$<br>0.2 7,625,893                                                                                          | D                                                                    | Â                                       |
| Common<br>Stock                       | 10/31/2011                              | Â                                                           | S4 <sup>(1)</sup>                       | 15,000 D<br>Amount (D) Price                                               | \$<br>0.2 7,610,893                                                                                          | D                                                                    | Â                                       |

Reminder: Report on a separate line for each class of  
securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information  
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SEC 2270  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    |                                | (A) (D)                                                                                 | Date Exercisable Expiration Date                         | Title Amount Number of Shares                               |
| Warrants (right to buy)                    | \$ 0.49                                                | 10/30/2009                           | Â                                                  | P4 <sup>(2)</sup>              | 510,205 Â                                                                               | 10/30/2009 07/31/2015                                    | Common Stock 510                                            |

## Reporting Owners

| Reporting Owner Name / Address                                                                           | Relationships |           |                     |       |
|----------------------------------------------------------------------------------------------------------|---------------|-----------|---------------------|-------|
|                                                                                                          | Director      | 10% Owner | Officer             | Other |
| STEIPP THOMAS W<br>LIQUIDMETAL TECHNOLOGIES, INC.<br>30452 ESPERANZA<br>RANCHO SANTA MARGARITA, CA 92688 | Â X           | Â         | Â President and CEO | Â     |

## Signatures

Thomas Steipp 02/14/2012

<sup>\*\*</sup>Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Sale of common stock was done under a plan of sale under Rule 10B5-1 of the Securities Exchange Act of 1934 that Mr. Steipp had adopted, as announced by the Company on September 12, 2011.

These warrants were acquired by the reporting person in connection with the reporting person's purchase of shares of the issuer's Series A Preferred Stock in October 2009. While the reporting person's ownership of the Series A Preferred Stock has been previously reported, the associated warrants were inadvertently omitted from the reporting person's original Form 3.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.