

LIQUIDMETAL TECHNOLOGIES INC
 Form 3
 February 25, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|--|--|--|--|--|
| 1. Name and Address of Reporting Person * Â HAUCK PAUL A (Last) (First) (Middle) | 2. Date of Event Requiring Statement (Month/Day/Year) 02/25/2016 | 3. Issuer Name and Ticker or Trading Symbol LIQUIDMETAL TECHNOLOGIES INC [LQMT] | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) | 5. If Amendment, Date Original Filed(Month/Day/Year) |
|--|--|--|--|--|

LIQUIDMETAL TECHNOLOGIES, INC.,Â 30452 ESPERANZA
 (Street)

RANCHO SANTA MARGARITA,Â CAÂ 92688
 (City) (State) (Zip)

____ Director ____ 10% Owner
 ___X___ Officer ____ Other
 (give title below) (specify below)
 VP-Worldwide Sales & Marketing

6. Individual or Joint/Group Filing(Check Applicable Line)
 ___X___ Form filed by One Reporting Person
 ____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

| | | | |
|------------------------------------|--|---|--|
| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | | | |
|---|--|--|--|---|--|
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) Date Exercisable Expiration Date | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) Title Amount or Number of | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--|--|---|--|

| | | | | Shares | | or Indirect (I) (Instr. 5) | |
|--------------|-------|------------|-----------------|-----------|---------|----------------------------------|---|
| Stock Option | Â (1) | 03/03/2024 | Common Stock | 2,282,000 | \$ 0.27 | D | Â |
| Stock Option | Â (1) | 02/27/2025 | Common Stock | 2,000,000 | \$ 0.14 | D | Â |
| Stock Option | Â (1) | 02/17/2026 | Common Stock | 2,000,000 | \$ 0.07 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| HAUCK PAUL A LIQUIDMETAL TECHNOLOGIES, INC. 30452 ESPERANZA RANCHO SANTA MARGARITA, CA 92688 | Â | Â | Â VP-Worldwide Sales & Marketing | Â |

Signatures

/s/ Curt P. Creely, as Attorney-in-Fact for Paul A. Hauck
 02/25/2016
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares commence vesting on the first year anniversary of the date of grant, with 20% vesting on such first anniversary. Thereafter, the (1) shares shall vest monthly over 4 years, in equal monthly amounts, with the option being 100% vested on the fifth anniversary of such first anniversary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.