# Edgar Filing: NEWMONT MINING CORP /DE/ - Form 3

### NEWMONT MINING CORP /DE/

#### Form 3

## September 27, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

- 1. Name and Address of Reporting Person  ${\tt HARQUAIL}$  ,  ${\tt DAVID}$ 
  - 1700 LINCOLN STREET DENVER, CO 80203 USA
- 2. Date of Event Requiring Statement (Month/Day/Year) May 15, 2002
- 3. IRS or Social Security Number of Reporting Person (Voluntary)
- 4. Issuer Name and Ticker or Trading Symbol NEWMONT MINING CORPORATION NEM
- 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) ( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other (specify below)
  - President & Managing Director Newmont Capital Limited
- If Amendment, Date of Original (Month/Day/Year) May 28, 2002
- 7. Individual or Joint/Group Filing (Check Applicable Line)
  - (X) Form filed by One Reporting Person
  - ( ) Form filed by More than One Reporting Person

lable	Τ	 Non-Derivative	Securities	Beneficially	Ownea

1. Title of Security	2. Amount of   Securities   Beneficially   Owned	3.	Ownership Form: Direct(D) or Indirect(I)	4.	Nature of Beneficial
Exchangeable Shares (1)	4,043	ΙI		Ву	retirement
Exchangeable Shares (1)	3,120	I		Ву	spouse's r

Table II -- Derivative Securitites Beneficially Owned

.Title of Derivative	2.Date Exer-	3.Title and Amount		4. Conver- 5. Owne	
Security	cisable and	of Underlying		sion or	ship
	Expiration	Securities		exercise	Form of
	Date(Month/			price of	Deriv-
	Day/Year)	1	Amount	deri-	ative
	Date   Expira-	-	or	vative	Securit
	Exer-   tion	Title	Number of	Security	Direct(
	cisable   Date		Shares		Indirec

Stock Option (right to b immed uy)				\$14.69 	
Stock Option (right to b immed	6/26/05  C	Common Stock	49,280	\$10.72	D

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uy)				 	 	 
Stock Option (right uy)	to b immed				\$19.25 	

#### Explanation of Responses:

(1) The Exchangeable Shares are shares of stock of 4011686 Canada Inc., a corporation existing under the laws of Canada and wholly-owned subsidiary of the Registrant, that are convertible

into common stock of the issuer on a one-for-one basis at the option of the holder at any time after February 16, 2002, pursuant to the terms set forth in the Provisions Attaching to the

Exchangeable Shares (the "Provisions") set forth as Appendix 1 to Schedule B of that certain Arrangement Agreement, dated November 14, 2001, between Franco-Nevada Mining Corporation

Limited and Newmont Gold Company (formerly known as Newmont Mining Corporation). Pursuant to that certain Voting and Exchange Trust Agreement between the Issuer, 4011686 Canada Inc.

and Computershare Trust Company of Canada (the "Trustee"), holders of Exchangeable Shares are entitled to direct the Trustee to cast the votes attaching to the share of the Special Voting Stock

of the Issuer held by the Trustee, on all matters submitted to the stockholders of the Issuer. The Exchangeable Shares remain exchangeable at the option of the holder until redeemed by the Issuer

pursuant to, and subject to the conditions of, the Provisions.

(2) David Harquail has executed a power of attorney, a copy of which has been previously filed, authorizing Ardis Young to execute this Form 3 on his behalf.

SIGNATURE OF REPORTING PERSON

David Harquail by Ardis Young, Attorney-in-Fact (2)

DATE

September 27, 2002