

NEWMONT MINING CORP /DE/

Form 4

November 12, 2004

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
LASSONDE PIERRE

2. Issuer Name **and** Ticker or Trading
Symbol
NEWMONT MINING CORP /DE/
[NEM]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1700 LINCOLN STREET
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/09/2004

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President

DENVER, CO 80203

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, \$1.60 par value	11/09/2004		M		50,000	A	\$ 10.71
Common Stock, \$1.60 par value	11/09/2004		S		2,800	D	\$ 49.55
Common Stock, \$1.60 par value	11/09/2004		S		500	D	\$ 49.54

Edgar Filing: NEWMONT MINING CORP /DE/ - Form 4

Common Stock, \$1.60 par value	11/09/2004	S	17,200	D	\$ 49.51	62,784	D
Common Stock, \$1.60 par value	11/09/2004	S	29,500	D	\$ 49.5	33,284	D
Common Stock, \$1.60 par value	11/12/2004	M	171,760	A	\$ 10.71	205,044	D
Common Stock, \$1.60 par value	11/12/2004	S	170,600	D	\$ 49.5	34,444	D
Common Stock, \$1.60 par value	11/12/2004	S	1,160	D	\$ 49.51	33,284	D
Common Stock, \$1.60 par value	11/12/2004	M	192,000	A	\$ 14.69	225,284	D
Common Stock, \$1.60 par value	11/12/2004	S	67,040	D	\$ 49.51	158,244	D
Common Stock, \$1.60 par value	11/12/2004	S	11,800	D	\$ 49.55	146,444	D
Common Stock, \$1.60 par value	11/12/2004	S	9,300	D	\$ 49.57	137,144	D
Common Stock, \$1.60 par value	11/12/2004	S	10,000	D	\$ 49.58	127,144	D
Common Stock, \$1.60 par value	11/12/2004	S	22,500	D	\$ 49.54	104,644	D
	11/12/2004	S	10,000	D	\$ 49.6	94,644	D

Common
Stock,
\$1.60 par
valueCommon
Stock,
\$1.60 par
valueCommon
Stock,
\$1.60 par
valueCommon
Stock,
\$1.60 par
valueCommon
Stock,
\$1.60 par
valueCommon
Stock,
\$1.60 par
value

11/05/2004

S

5,000

D

\$
49.59

89,644

D

11/12/2004

S

5,500

D

\$
49.62

84,144

D

11/12/2004

S

13,860

D

\$
49.56

70,284

D

11/12/2004

S

25,000

D

\$
49.53

45,284

D

11/12/2004

S

12,000

D

\$
49.52

33,284

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number Shares
Employee Stock Option (right to	\$ 10.71	11/09/2004		M	221,760	<u>(1)</u> 06/26/2005	Common Stock 221,760

buy)

Employee

Stock

Option
(right to

buy)

\$ 14.69

11/12/2004

M

192,000

(2)

06/26/2005

Common
Stock

192,00

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LASSONDE PIERRE 1700 LINCOLN STREET DENVER, CO 80203	X		President	

Signatures

Ardis Young, Assistant Secretary, as
attorney-in-fact

11/12/2004

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option, which provided for vesting in ten equal annual installments beginning June 26, 1996, was assumed by the Issuer pursuant to a plan of arrangement between the Issuer and Franco-Nevada Mining Corporation Limited effective February 16, 2002 and replaced with an option to purchase 221,760 shares of the Issuer stock for \$10.71 per share.

The option, which provided for vesting in ten equal annual installments beginning June 26, 1996, was assumed by the Issuer pursuant to a plan of arrangement between the Issuer and Franco-Nevada Mining Corporation Limited effective February 16, 2002 and replaced with an option to purchase 192,000 shares of the Issuer stock for \$14.69 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.