## Edgar Filing: NEWMONT MINING CORP /DE/ - Form 4

NEWMONT MINING CORP /DE/ Form 4 December 02, 2004 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading DOW JOHN A S Issuer Symbol NEWMONT MINING CORP /DE/ (Check all applicable) [NEM] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_ Officer (give title \_Other (specify (Month/Day/Year) below) below) **1700 LINCOLN STREET** 11/30/2004 Executive Vice President (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting **DENVER, CO 80203** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of<br>Security | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if | 3.<br>Transactio | 4. Securities<br>onAcquired (A) or | 5. Amount of Securities | 6. Ownership<br>Form: Direct | 7. Nature of Indirect |
|------------------------|---|----------------------------------|------------------|------------------------------------|-------------------------|------------------------------|-----------------------|
| (Instr. 3)             |   | any                              | Code             | Disposed of (D)                    | Beneficially            | (D) or Indirect              | Beneficial            |
|                        |   | (Month/Day/Year)                 | (Instr. 8)       | (Instr. 3, 4 and 5)                | Owned                   | (I)                          | Ownership             |
|                        |   |                                  |                  |                                    | Following               | (Instr. 4)                   | (Instr. 4)            |
|                        |   |                                  |                  | ( • )                              | Reported                |                              |                       |
|                        |   |                                  |                  | (A)                                | Transaction(s)          |                              |                       |
|                        |   |                                  | Code V           | or<br>Amount (D) Price             | (Instr. 3 and 4)        |                              |                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5.       | 6. Date Exercisable and | 7. Title and Amount of | 8. Price of |
|-------------|-------------|---------------------|--------------------|-----------|----------|-------------------------|------------------------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti | onNumber | Expiration Date         | Underlying Securities  | Derivative  |
| Security    | or Exercise |                     | any                | Code      | of       | (Month/Day/Year)        | (Instr. 3 and 4)       | Security    |

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| (Instr. 3)       | Price of<br>Derivative<br>Security | (Month/Day/Year) | (Instr. 8) | Derivativ<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 3                   |                    |                 |  | (Instr. 5) |
|------------------|------------------------------------|------------------|------------|--|---------------------|--------------------|-----------------|--|------------|
|                  |                                    |                  | Code V     | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |            |
| Phantom<br>Stock | <u>(1)</u>                         | 11/30/2004       | А          | 3  | (2)                 | (2)                | Common<br>stock | 3                                      | \$ 47.35   |

## **Reporting Owners**

| Reporting Owner Name / Address                          |          |           | Relationships            |       |  |  |
|---|----------|-----------|--------------------------|-------|--|--|
|   | Director | 10% Owner | Officer                  | Other |  |  |
| DOW JOHN A S<br>1700 LINCOLN STREET<br>DENVER, CO 80203 |          |           | Executive Vice President |       |  |  |
| Signatures  |          |           |                          |       |  |  |
| Ardis Young, Assistant Secreta attorney-in-fact         | ry, as   |           | 12/02/2004               |       |  |  |
| <u>**</u> Signature of Reporting P                      | erson    |           | Date                     |       |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converted on a 1-for-1 basis.
- (2) Shares of phantom stock are payable in cash following termination of the reporting person's employment with the Issuer.
- (3) As of November 30, 2004 the reporting person held 1,529 shares of Newmont Mining Corporation common stock in his 401-K Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.