

SEVCON, INC.  
Form 8-K/A  
July 12, 2016

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**WASHINGTON, D.C. 20549**

---

**FORM 8-K/A**

---

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): **July 6, 2016**

**SEVCON, INC.**

(Exact name of registrant as specified in its charter)

**Delaware**

(State or other jurisdiction of incorporation)

**001-9789**

(Commission

File Number)

**04-2985631**

(IRS Employer Identification No.)

**155 Northboro Road**

**Southborough, MA**

(Address of principal executive offices)

**01772**

(zip code)

**(508) 281-5510**

(Registrant's telephone number, including area code)

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Introductory Note**

On July 11, 2016, Sevcon, Inc. (the “Company”) filed a Current Report on Form 8-K to report the Company’s entry into a Securities Purchase Agreement and a Registration Rights Agreement and subsequent issuance of shares and warrants pursuant to the Securities Purchase Agreement.

This Current Report on Form 8-K/A is for the sole purpose of refiling Exhibit 4.1, which had typographical errors as originally filed.

**Item 9.01 Financial Statements and Exhibits.**

(d) Exhibits

**Exhibit Description  
Number**

|      |   |
|------|---|
| 4.1  | Forms of warrant to purchase common stock issued July 8, 2016, pursuant to Securities Purchase Agreement dated July 6, 2016.  |
| 10.1 | Securities Purchase Agreement dated July 6, 2016 among Sevcon, Inc. and the Investors named therein (Incorporated by reference to Exhibit 10.1 to the Current Report on Form 8-K filed by Sevcon, Inc. on July 11, 2016). |
| 10.2 | Registration Rights Agreement dated July 6, 2016 among Sevcon, Inc. and the Investors named therein (Incorporated by reference to Exhibit 10.2 to the Current Report on Form 8-K filed by Sevcon, Inc. on July 11, 2016). |
| 99.1 | Press release of the Company dated July 7, 2016 (Incorporated by reference to Exhibit 99.1 on Form 8-K filed by Sevcon, Inc. on July 11, 2016).   |

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**SEVCON, INC.**

Dated: July 12, 2016 By: /s/ Raymond J. Thibault Jr.  
Raymond J. Thibault Jr.  
Assistant Treasurer