Edgar Filing: RICHARDSON ELECTRONICS LTD/DE - Form 3

RICHARDSON ELECTRONICS LTD/DE Form 3 October 10, 2013 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Halverson Kenneth			2. Date of Event Requiring Statement (Month/Day/Year)	0 5. 155001 Hull	3. Issuer Name and Ticker or Trading Symbol RICHARDSON ELECTRONICS LTD/DE [RELL]					
(Last)	(First)	(Middle)	10/08/2013	4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)				
40W267 KE	SLINGER	RD.					· · ·			
(Street)				(Check	all applicable)	6. Individual or Joint/Group				
LAFOX, II	LÂ 60147			X_ Directo Officer (give title below	Othe		Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I	- Non-Derivat	tive Securit	ies Be	neficially Owned			
1.Title of Security (Instr. 4)			tt of Securities lly Owned			ature of Indirect Beneficial ership r. 5)				
Common Sto	ock		0		D	Â				
Reminder: Repo owned directly o	-	ate line for ea	ch class of securities bene	ficially S	SEC 1473 (7-02	2)				
	inform require	ation conta ed to respo	pond to the collection ained in this form are r nd unless the form dis MB control number.	not						

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership	
			(Instr. 4)		Price of	Derivative	(Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:		
					Security Direct (D			
						or Indirect		

OMB APPROVAL

Estimated average burden hours per

3235-0104

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Edgar Filing: RICHARDSON ELECTRONICS LTD/DE - Form 3

				Shares		(I) (Instr. 5)	
Non-Employee Director Stock Option (Right to Buy)	10/08/2014(1)	10/08/2023	Common Stock	25,000	\$ 11.14	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Halverson Kenneth 40W267 KESLINGER RD. LAFOX, IL 60147	ÂX	Â	Â	Â	
Signatures					
Kathleen S. Dvorak, attorney-in-fact for Kenneth Halverson 10/					
<u>**</u> Signature of Report	Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Option vests 20% per year, beginning 10-8-2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.