

CONTROL4 CORP  
Form 4  
February 17, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Dungan Jefferson

(Last) (First) (Middle)

C/O CONTROL4 CORPORATION, 11734 S. ELECTION ROAD

(Street)

SALT LAKE CITY, UT 84020

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
CONTROL4 CORP [CTRL]

3. Date of Earliest Transaction (Month/Day/Year)  
02/15/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
SVP Bus. Development

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	02/15/2017 <sup>(1)</sup>		M		2,680 A \$ 6.14	30,724	D
Common Stock	02/15/2017 <sup>(1)</sup>		S		2,680 D \$ 15.28	28,044	D
Common Stock	02/15/2017 <sup>(1)</sup>		M		6,935 A \$ 6.14	34,979	D
Common Stock	02/15/2017 <sup>(1)</sup>		S		6,935 D \$ 15.28	28,044	D

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Common Stock	02/15/2017 <sup>(1)</sup>	M	6,045	A	\$ 7.49	34,089	D	
Common Stock	02/15/2017 <sup>(1)</sup>	S	6,045	D	\$ 15.28 <u>(2)</u>	28,044	D	
Common Stock	02/15/2017 <sup>(1)</sup>	M	26,674	A	\$ 7.49	54,718	D	
Common Stock	02/15/2017 <sup>(1)</sup>	S	26,674	D	\$ 15.28 <u>(2)</u>	28,044	D	
Common Stock	02/15/2017 <sup>(1)</sup>	M	2,639	A	\$ 6.34	30,683	D	
Common Stock	02/15/2017 <sup>(1)</sup>	S	2,639	D	\$ 15.28 <u>(2)</u>	28,044	D	
Common Stock	02/15/2017 <sup>(1)</sup>	M	2,168	A	\$ 6.34	30,212	D	
Common Stock	02/15/2017 <sup>(1)</sup>	S	2,168	D	\$ 15.28 <u>(2)</u>	28,044	D	
Common Stock	02/15/2017 <sup>(1)</sup>	M	1,279	A	\$ 9.15	29,323	D	
Common Stock	02/15/2017 <sup>(1)</sup>	S	1,279	D	\$ 15.28 <u>(2)</u>	28,044	D	
Common Stock	02/16/2017 <sup>(1)</sup>	M	11,942	A	\$ 9.15	39,986	D	
Common Stock	02/16/2017 <sup>(1)</sup>	S	11,942	D	\$ 14.98 <u>(3)</u>	28,044	D	
Common Stock	02/16/2017 <sup>(1)</sup>	M	6,009	A	\$ 9.15	34,053	D	
Common Stock	02/16/2017 <sup>(1)</sup>	S	6,009	D	\$ 14.89 <u>(3)</u>	28,044	D	
Common Stock						25	I	By 401(k) Plan <sup>(4)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 6.14	02/15/2017		M	2,680	<u>(5)</u>	05/25/2021	Common Stock	2,680
Stock Option (right to buy)	\$ 6.14	02/15/2017		M	6,935	<u>(6)</u>	05/25/2021	Common Stock	6,935
Stock Option (right to buy)	\$ 7.49	02/15/2017		M	6,045	<u>(7)</u>	07/08/2020	Common Stock	6,045
Stock Option (right to buy)	\$ 7.49	02/15/2017		M	26,674	<u>(8)</u>	07/08/2020	Common Stock	26,674
Stock Option (right to buy)	\$ 6.34	02/15/2017		M	2,639	<u>(9)</u>	12/28/2021	Common Stock	2,639
Stock Option (right to buy)	\$ 6.34	02/15/2017		M	2,168	<u>(10)</u>	12/28/2021	Common Stock	2,168
Stock Option (right to buy)	\$ 9.15	02/15/2017		M	1,279	<u>(11)</u>	09/27/2022	Common Stock	1,279
Stock Option (right to buy)	\$ 9.15	02/16/2017		M	11,942	<u>(11)</u>	09/27/2022	Common Stock	11,942
	\$ 9.15	02/16/2017		M	6,009	<u>(12)</u>	09/27/2022		6,009

