Ballhaus William Francis JR Form 4/A September 14, 2018

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

burden hours per

response...

Estimated average

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person 2  Ballhaus William Francis JR  OSI SYSTEMS INC [OSIS]  (Last) (First) (Middle)  (Month/Day/Year)  (Street)  (Check all applicable)  (Check all applicable)  (Check all applicable)  (Check all applicable)  (Street)  (Other (specify below)  (Street)  (Street)  (Check all applicable)  (All philipicable)  (Street)  (Street)  (Other (specify below)  (Check all applicable)  (Check all applicable  (All philipicable)  (Street)  (Other (specify below)  (Check all applicable)  (Check all applicable  (All philipicable)  (Street)  (Other (specify below)  (Street)  (Other (specify below)  (Check all applicable  (All philipicable)  (Street)  (Other (specify below)  (Check all applicable  (All philipicable)  (Street)  (Other (specify below)  (Other (specify below)  (Other (specify	(Print or Type	Responses)							
(Check all applicable)  (Last) (First) (Middle) 3. Date of Earliest Transaction  (Month/Day/Year) ————————————————————————————————————		- 2.1554	· · · · · · · · · · · · · · · · · · ·			1 1 0			
(Last) (First) (Middle) 3. Date of Earliest Transaction  (Month/Day/Year) — X_ Director — 10% Owner  12525 CHADRON AVE 09/11/2018 — Officer (give title below) —			OSI SY	STEMS I	NC [OSIS]	(Cl	heck all applicab	le)	
12525 CHADRON AVE  O9/11/2018  O9/11/2018  O9/11/2018  Officer (give title below)  Officer (give title below)  Other (specify below)  Oth	(Last)	(First)	Middle) 3. Date of	of Earliest Tr	ansaction	(		,	
Filed(Month/Day/Year) O9/06/2018  HAWTHORNE, CA 90250  C(City)  (State)  (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  1. Title of Security (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 3)  Filed(Month/Day/Year) O9/06/2018  Applicable Line)  _X_ Form filed by One Reporting Person  Applicable Line)  _X_ Form filed by One Reporting Person  - Form filed by More than One Reporting Person  -	12525 CHA	`	• •			ive titleOt			
HAWTHORNE, CA 90250  (City)  (State)  (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  1. Title of Security (Month/Day/Year) (Month/Day/Year) any  (Code Disposed of (D) Beneficially (D) or Beneficially		4. If Am	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  1. Title of 2. Transaction Date (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) (Code Disposed of (D) Beneficially (D) or Beneficial		`				**			
1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial	HAWTHO	RNE, CA 90250					y More than One F	Reporting	
Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial	(City)	(State)	(Zip) Tak	ole I - Non-D	Perivative Securities A	cquired, Disposed	l of, or Beneficia	ally Owned	
(Instr. 3) any Code Disposed of (D) Beneficially (D) or Beneficial	1.Title of	2. Transaction Dat	e 2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of	
	Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired (A) or	Securities	Form: Direct	Indirect	
	(Instr. 3)		2		* * *		` /		

		Tubi	CI MON D	ciivative	Jecuii	1105 110	quireu, Disposeu	oi, or beneficia	iny Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securi		r	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)	(*************************************	any (Month/Day/Year)	Code (Instr. 8)	Disposed (Instr. 3,	d of (D 4 and (A) or	))	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
Common Stock	09/11/2018	09/06/2018	G(1) V	10	D	\$ 0	18,448	I	Ballhaus Trust-See Footnote 2
Common Stock							7,343	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Ballhaus William Francis JR - Form 4/A

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Title		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secur
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
						Excicisable	Date		of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
Ballhaus William Francis JR 12525 CHADRON AVE HAWTHORNE, CA 90250	X						

# **Signatures**

/s/ William F. 09/14/2018 Ballhaus Jr.

\*\*Signature of Reporting Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Ballhaus Trust U/A91/25/02 Dr. William Ballhaus Jr. and Jane K Ballhaus Trustee
- Gift to Grandchild. The reporting person retains investment control over these securities but disclaims beneficial ownership of these (2) securities. This report should not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2