Ivy High Income Opportunities Fund Form 3 July 19, 2013 FORM 3 UNITED STATE

#### M 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### (Print or Type Responses)

1. Name and A Person <u>*</u> Stevovicl		porting	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol Ivy High Income Opportunities Fund [IVH]						
(Last)	(First)	(Middle)	05/28/2013	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)			
6300 LAMA	AR AVENU	JE		(Check all applicable) Director 10% Owner X_Officer Other (give title below) (specify below)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
OVERLAN	(Street)					Owner				
PARK, KS 66202				Assistant Treasurer			Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - N	Non-Derivat	tive Securiti	ties Beneficially Owned				
1.Title of Secu (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr	*			
Common Sh	nares		0		D	Â				
Reminder: Rep owned directly			ch class of securities benefic	<sup>ially</sup> S	SEC 1473 (7-02	)				
	inforn requii	nation conta red to respo	pond to the collection of ained in this form are not and unless the form displ MB control number.	t						
1	fable II - Der	rivative Secu	rities Beneficially Owned (e	.g., puts, calls,	, warrants, opt	ions, c	onvertible securities)			

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	1	6. Nature of Indirect Beneficial Ownership (Instr. 5)
					Price of Derivati	Derivative	
	Date	Expiration	Title	Amount or Number of	Derivative	Security:	
					Security	Direct (D)	
	Exercisable	Date				or Indirect	

OMB APPROVAL

Estimated average burden hours per

3235-0104

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Shares (I) (In:

(Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
			10% Owner	Officer	Other		
Stevovich Mira 6300 LAMAR AVENUE OVERLAND PARK, KS 66202		Â	Â	Assistant Treasurer	Â		
Signatures							
/s/ Mira Stevovich	07/19/201	13					
<u>**</u> Signature of Reporting Person	Date						

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. er-bottom-width: 1">11. Nature of Indirect Beneficial Ownership

(Instr. 4)CodeV(A)(D)Date ExercisableExpiration DateTitleAmount or Number of Shares Phantom Stock Unit  $0^{(1)}11/08/2010$  A<sup>(2)</sup> 40 (3) (3) Common Stock 40 \$ 0 8,866 D

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
KELLY JOHN E III IBM CORPORATION P.O. BOX 218 YORKTOWN HEIGHTS, NY 10598			Senior Vice President			
Signatures						
D. Cummins on behalf of J. E. Kelly III	1	1/09/2010				
**Signature of Reporting Person		Date				

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom stock units convert to the cash value of the company's common stock on a one-for-one basis.
- (2) Acquisition of phantom stock units under the IBM Excess 401(k) Plus Plan.
- (3) Distribution of phantom stock units under the IBM Excess 401(k) Plus Plan is deferred until separation from the company. The reporting person may transfer these phantom stock units into an alternative investment account under such plan.

#### Edgar Filing: Ivy High Income Opportunities Fund - Form 3

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