

Probst Robert F
Form 3
October 06, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Probst Robert F

(Last) (First) (Middle)

BEAM INC.,Â 510 LAKE
COOK ROAD

(Street)

DEERFIELD,Â ILÂ 60015

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

10/03/2011

3. Issuer Name **and** Ticker or Trading Symbol
BEAM INC [NYSE: BEAM]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
X Officer ____ Other
(give title below) (specify below)
SVP & Chief Financial Officer

6. Individual or Joint/Group
Filing(Check Applicable Line)
X Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of
Shares

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect
(I)

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

(Instr. 5)

Employee Stock Option (Right to Buy) ⁽¹⁾	Â ⁽²⁾	09/29/2015	Beam Inc. Common Stock	75,000	\$ 57.01	D	Â
Employee Stock Option (Right to Buy) ⁽¹⁾	Â ⁽³⁾	09/30/2016	Beam Inc. Common Stock	24,000	\$ 42.98	D	Â
Employee Stock Option (Right to Buy) ⁽¹⁾	Â ⁽⁴⁾	02/22/2017	Beam Inc. Common Stock	40,000	\$ 43.67	D	Â
Employee Stock Option (Right to Buy) ⁽¹⁾	Â ⁽⁵⁾	02/22/2021	Beam Inc. Common Stock	20,000	\$ 61.54	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Probst Robert F BEAM INC. 510 LAKE COOK ROAD DEERFIELD, IL 60015	Â	Â	Â SVP & Chief Financial Officer	Â

Signatures

Todd M. Bloomquist, as Attorney-in-Fact for Robert F.
Probst

10/06/2011

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- As a result of the Issuer's spin-off of Fortune Brands Home & Security, Inc. on October 3, 2011, these securities are subject to an intrinsic value adjustment as described in the Issuer's Long-Term Incentive Plans and the Employee Matters Agreement dated as of September 28, 2011.
- (1) The options vested in three equal annual installments beginning on September 29, 2009.
 - (2) The options vested as to 667 shares on September 30, 2010, as to 11,667 shares on September 30, 2011, and will vest as to the remaining 11,666 shares on September 30, 2012.
 - (3) The options vest in three equal annual installments beginning on February 22, 2011.
 - (4) The options vest in three equal annual installments beginning on February 22, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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