Edgar Filing: SUPERIOR INDUSTRIES INTERNATIONAL INC - Form 4

SUPERIOR INDUSTRIES INTERNATIONAL INC

Form 4 May 13, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

3235-0287 Number: January 31, Expires: 2005

OMB APPROVAL

Section 16. Form 4 or Form 5

SECURITIES

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **BORICK STEVEN J**

(Street)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

SUPERIOR INDUSTRIES **INTERNATIONAL INC [SUP]**

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

_X__ 10% Owner Director _ Other (specify Officer (give title

(Month/Day/Year) 2707 KIPLING STREET

05/09/2014

below)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

HOUSTON, TX 77098

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acquir	ed, Disposed of,	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	Cransaction Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect	Ownership	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	05/09/2014		M	10,000	A	\$ 14.85	260,932	D	
Common Stock	05/09/2014		S	10,000	D	\$ 19.1972 (1)	250,932	D	
Common Stock	05/09/2014		M	30,000	A	\$ 14.85	280,932	D	
Common Stock	05/09/2014		S	30,000	D	\$ 19.4015 (2)	250,932	D	
	05/12/2014		M	13,167	A	\$ 15.17	264,099	D	

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Common Stock

Common Stock 05/12/2014 S 13,167 D \$20.104 250,932 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (Right to Buy)	\$ 14.85	05/09/2014		M	40,00	0 03/01/2014(4)	03/01/2020	Common Stock	40,000
Stock Option (Right to Buy)	\$ 15.17	05/12/2014		M	13,16	7 08/14/2010 <u>(5)</u>	08/14/2019	Common Stock	13,16

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 6	Director	10% Owner	Officer	Other			
BORICK STEVEN J							
2707 KIPLING STREET		X					
HOUSTON, TX 77098							

Signatures

/s/ Paula Winner Barnett as
Attorney-in-Fact
05/13/2014

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This price represents an average price with a trading range of a high of \$19.31 and a low of \$19.15.
- (2) This price represents an average price with a trading range of a high of \$19.66 and a low of \$19.15.
- (3) This price represents an average price with a trading range of a high of \$20.17 and a low of \$20.10.
- (4) These options vested 25% on March 1, 2011, 25% on March 1, 2012, 25% on March 1, 2013 and 25% on March 1, 2014.
- (5) These options vested 25% on August 14, 2010, 25% on August 14, 2011, 25% on August 14, 2012 and 25% on August 14, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.