

RAND CAPITAL CORP  
Form 8-K  
September 23, 2016

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**  
**PURSUANT TO SECTION 13 OR 15(d)**  
**OF THE SECURITIES EXCHANGE ACT OF 1934**

**Date of Report (Date of earliest event reported): September 21, 2016**

**RAND CAPITAL CORPORATION**  
**(Exact name of registrant as specified in its charter)**

**New York**  
**(State or Other Jurisdiction**

**of Incorporation)**

**814-00235**  
**(Commission**

**File Number)**  
**2200 Rand Building, Buffalo, NY 14203**

**16-0961359**  
**(IRS Employer**

**Identification No.)**

Edgar Filing: RAND CAPITAL CORP - Form 8-K

**(Address of principal executive offices)**

**(716) 853-0802**

**(Registrant's telephone number, including area code)**

**Not Applicable**

**(Former name or former address, if changed since last report)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

(b) On September 21, 2016, E. Wycliffe (Cliff) Orr, Jr. submitted his resignation as a director of Rand Capital Corporation (the Company) effective immediately. Mr. Orr's resignation is not because of any disagreement with the Company.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: September 23, 2016

**RAND CAPITAL CORPORATION**

By: /s/ Allen F. Grum  
Name: Allen F. Grum  
Title: President and Chief Executive Officer