

NOMURA HOLDINGS INC
Form 6-K
September 08, 2017
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of September 2017

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F X Form 40-F _____

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): _____

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): _____

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from August 1, 2017 to August 31, 2017

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: September 8, 2017

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents

[Translation of Share Buyback Report for the reporting month from August 1, 2017 to August 31, 2017 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on September 8, 2017]

Class of Shares: Common Stock**1. Status of repurchase**

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders

Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)

		as of August 31, 2017	
	Number of Shares	Total Repurchase Amount (JPY)	
Authorization pursuant to the resolution of the Board (April 27, 2017)			
(Period of repurchase: from May 17, 2017 to March 30, 2018)	100,000,000	80,000,000,000	
Repurchases during this reporting month (Date of repurchase)	(Date)	1,841,000	1,192,295,670
	August 15	1,841,000	1,188,487,740
	August 16	1,787,500	1,145,422,910
	August 17	1,841,600	1,158,001,930
	August 18	1,967,000	1,221,068,590
	August 21	1,961,100	1,221,354,060
	August 22	1,967,000	1,235,876,640
	August 23	1,967,000	1,208,367,390
	August 24	1,967,000	1,204,417,230
	August 25	2,036,900	1,238,013,600
	August 28	2,050,500	1,234,138,260

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

	August 29	2,074,000	1,266,480,350
	August 30	2,074,000	1,269,812,350
	August 31		
Total		25,375,600	15,783,736,720
Aggregate shares repurchased as of the end of this reporting month		25,375,600	15,783,736,720
Progress of share repurchase (%)		25.4	19.7

Table of Contents**2. Status of disposition**

as of August 31, 2017

	Number of shares disposed in the reporting month	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares	(Date)	
Subtotal		
Treasury shares canceled	(Date)	
Subtotal		
Treasury shares transferred upon merger, share exchange or demerger	(Date)	
Subtotal		
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)	
	August 3	19
Subtotal		12,534
Subtotal		19
Subtotal		12,534
Other (exercise of stock acquisition rights)	(Date)	
	August 1	85,600
	August 2	341,400
	August 3	139,200
	August 4	49,900
	August 7	13,300
	August 8	71,900
	August 9	88,200
	August 10	13,000
	August 14	11,000
	August 15	17,300
	August 16	55,800
	August 17	45,000
	August 18	119,900
	August 22	18,300
	August 23	15,000
	August 24	3,000
	August 25	4,500
	August 28	14,200
	August 29	21,400
	August 30	39,600
	August 31	47,500
Subtotal		1,215,000
Total		83,849,000
		1,215,019
		83,861,534

3. Status of shares held in treasury

	as of August 31, 2017
As of the end of the reporting month	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	298,127,307