Edgar Filing: TRICO BANCSHARES / - Form 4

| Check this box Shington, D.C. 20549 Number: | | | | | | | | | | 3235-0287 January 31, | |
|--|---|--|---|---|--|-------|--------------|---|--|--------------------------|--|
| if no lon subject t Section Form 4 o Form 5 obligatio may con <i>See</i> Insta 1(b). | o STATEM 16. or Filed purs ^{ons} Section 17(a | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: 2005 Estimated average burden hours per response 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 0.5 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 0.5 | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| CARNEY CRAIG B Symbol | | | | r Name and BANCS | | | C | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| () | | | 3. Date of Earliest Transaction(Month/Day/Year)02/05/2014 | | | | | Director 10% Owner X Officer (give title Other (specify below) below) EVP & Chief Credit Officer | | | |
| | | | | Amendment, Date Original Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-I | Derivative | Secu | rities Acqu | iired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | | ction Date 2A. Deemed Day/Year) Execution Date, if any (Month/Day/Year) | | | 4. Securi or(A) or Di (Instr. 3, | spose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | s Ownership Indirect ally Form: Direct Beneficia (D) or Ownersh Indirect (I) (Instr. 4) (Instr. 4) ion(s) | | |
| Common | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | 02/05/2014 | | | М | 6,720 | А | \$ 17.375 | 27,136.29 (1) | D | | |
| Common Stock | 02/05/2014 | | | J <u>(2)</u> | 0 | А | \$0 | 107.8006 | Ι | By Son | |
| Common Stock | 02/05/2014 | | | J <u>(2)</u> | 0 | А | \$0 | 117.4408 | Ι | By Son | |
| Common Stock | 02/05/2014 | | | J <u>(2)</u> | 0 | А | \$ 0 | 123.315 | Ι | By Daughter | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactiv Code (Instr. 8) | 5. Number ionof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of 8 Underlying Securities (Instr. 3 and 4) 5 | |
|---|---|---|---|--|--|-------|--|--------------------|--|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Common Stock Options | \$ 17.375 | 02/05/2014 | | М | | 6,720 | 02/17/2006 | 02/17/2014 | Common Stock | 6,720 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|----------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| CARNEY CRAIG B 63 CONSTITUTION DR CHICO, CA 95973 | | | EVP & Chief Credit Officer | | | | | |
| Signatures | | | | | | | | |
| /S/ Craig Carney By Suzanne Y Attorney-in-Fact | oungs | | 02/05/2014 | | | | | |
| **Signature of Reporting | Person | | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) "Common Stock owned includes shares held in the issuer's ESOP of 16,216.29 shares."

(2) No transactions occurred among these shares, intended only to reflect number of shares beneficially owned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.