Edgar Filing: HANMI FINANCIAL CORP - Form 4

HANMI FINA Form 4 February 12, 2	ANCIAL CORP 2014										
FORM	Δ									PPROVAL	
	UNITED	STATES		ITIES Al hington, 1			NGE (COMMISSION	OMB Number:	3235-0287	
if no longe subject to Section 16 Form 4 or Form 5 obligation may contin	Check this box if no longer subject to Section 16. Form 4 or						ge Act of 1934, f 1935 or Sectio	Expires: January 31 2005 Estimated average burden hours per response 0.5			
See Instruct 1(b).	ction	50(II)	or the my	Continent v	company	y 1101	0117	10			
(Print or Type R	esponses)										
RHO JOSEPH K s			2. Issuer Name and Ticker or Trading Symbol HANMI FINANCIAL CORP					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	[HAFC]					(enter an approache)					
				Earliest Tra ay/Year) 14	insaction			X_ Director 10% Owner Officer (give title below) Other (specify below)			
DOOLLVII			4 70 4		<u> </u>			< + + + + + + + +			
Filed(Month				ndment, Date Original h/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
LOS ANGEI	LES, CA 90010							Person		porting	
(City)	(State)	(Zip)	Table	I - Non-Do	erivative S	lecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any		3. Transactic Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) o of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock Grant	01/22/2014			А	2,000	A	\$ 0 (1)	364,966	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	Expiration D (Month/Day/ e	biration Date A onth/Day/Year) U Se		le and ant of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
RHO JOSEPH K 3660 WILSHIRE BOULEV PENTHOUSE "A" LOS ANGELES, CA 9001		Х						
Signatures								
/s/ Joseph K. Rho	02/12	/2014						
**Signature of	Dat	e						

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Stock grant issued under the 2013 Equity Compensation Plan with immediate vesting

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.