Edgar Filing: ASSOCIATED ESTATES REALTY CORP - Form 3

ASSOCIATED ESTATES REALTY CORP Form 3 February 27, 2015 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION O Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Fosheim Jon A			2. Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol ASSOCIATED ESTATES REALTY CORP [AEC]				
(Last)	(First)	(Middle)	02/19/2015		4. Relationship Person(s) to Is		;	5. If Amendment, Date Original Filed(Month/Day/Year)	
4 CORPOR	ATE PLAZ	ZA							
DRIVE, S	UITE 250			(Check all applicable)					
NEWPORT	(Street)				X Director Officer (give title below	Other		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One	
BEACH, (CAÂ 92660)						Reporting Person	
(City)	(State)	(Zip)	T	able I - N	on-Derivati	ve Securiti	ies Be	neficially Owned	
1.Title of Secur (Instr. 4)	rity		В	Amount of eneficially nstr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	1	
Common Shares, without par value			ie 5	5,150 <u>(1)</u>		D	Â		
Reminder: Repo owned directly	-		ach class of securit	ies benefici	ally SI	EC 1473 (7-02	2)		
	inform	nation cont	pond to the coll ained in this for and unless the f	m are not	avs a				

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
		Title	Derivative	Security:	
			Security	Direct (D)	

OMB APPROVAL

Estimated average burden hours per

Number:

Expires:

response...

3235-0104

January 31,

2005

0.5

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Date	Expiration	Amount or	or Indirect
Exercisable	Date	Number of	(I)
		Shares	(Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships					
Topology of the Lame (Law cos	Director	10% Owner	Officer	Other		
Fosheim Jon A 4 CORPORATE PLAZA DRIVE SUITE 250 NEWPORT BEACH, CA 92660	ÂX	Â	Â	Â		
Signatures						
/s/Sue Bozek, as Attorney-in-Fact	02/27/20)15				
**Signature of Reporting Person	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares granted as compensation to director to vest as follows: 907 to vest on May 7, 2015; and 4,510 to vest in three equal annual installments beginning on the first anniversary of the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.