**NUVASIVE INC** Form 4 February 03, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

See Instruction

1. Name and Address of Reporting Person \* Hannon Jason

> (Last) (First) (Middle)

7475 LUSK BLVD

(Street)

2. Issuer Name and Ticker or Trading Symbol

**NUVASIVE INC [NUVA]** 

3. Date of Earliest Transaction (Month/Day/Year) 02/01/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

2005

January 31, Expires:

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title ) \_ Other (specify below)

EVP, International

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### SAN DIEGO, CA 92121

(City)	(State) (	(Zip) Tabl	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	· / /			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	02/01/2016		M	8,316	A	\$0	26,881 (1)	D	
Common Stock	02/01/2016		M	4,397	A	\$0	31,278 (1)	D	
Common Stock	02/01/2016		M	12,375	A	\$0	43,653 (1)	D	
Common Stock	02/01/2016		M	2,661	A	\$0	46,314 (1)	D	
Common Stock	02/01/2016		F	11,434 (2)	A	\$ 46.6	34,880 (1)	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
RSUs (3)	\$ 0	02/01/2016		M	8,316	<u>(4)</u>	<u>(4)</u>	Common Stock	8,316	\$
RSUs (3)	\$ 0	02/01/2016		M	4,397	(5)	(5)	Common Stock	4,397	\$
PRSUs	\$ 0	02/01/2016		M	12,375	<u>(7)</u>	<u>(7)</u>	Common Stock	12,375	\$
PRSUs (6)	\$ 0	02/01/2016		M	2,661	(8)	(8)	Common Stock	2,661	\$

# **Reporting Owners**

Reporting Owner Name / Address	elationships
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Director 10% Owner Officer Other

Hannon Jason 7475 LUSK BLVD

EVP, International

SAN DIEGO, CA 92121

# **Signatures**

/s/ Jason M. 02/03/2016 Hannon

\*\*Signature of
Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Reporting Owners 2

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Shares directly held by the Reporting Person. The Reporting Person also holds conditional rights to receive shares pursuant to previously disclosed Issuer equity awards.

- (2) Shares withheld by the Issuer to satisfy tax withholding obligations.
- (3) Each Restricted Stock Unit ("RSU") represents the right to receive one share of the Issuer's common stock upon vesting.
- (4) This RSU award was granted to the Reporting Person on February 26, 2013. In accordance with the terms of the RSU award, the award vested as to 8,316 shares on each of February 1, 2014, February 1, 2015 and February 1, 2016.
- (5) This RSU award was granted to the Reporting Person on February 19, 2014. In accordance with the terms of the RSU award, the award vested as to 4,397 shares on each of February 1, 2015 and February 1, 2016, and will vest as to 4,397 shares on February 1, 2017.
- (6) Each Performance Restricted Stock Unit ("PRSU") represents the right to receive one share of the Issuer's common stock upon vesting.
- (7) This PRSU award was granted to the Reporting Person on February 19, 2014. In accordance with the terms of the PRSU award, the award vested as to 12,375 shares on February 1, 2016 and will vest as to 12,375 shares on February 1, 2017.
- This PRSU award was granted to the Reporting Person on February 17, 2015. In accordance with the terms of the PRSU award, the award (8) vested as to 2,661 shares on February 1, 2016 and will vest as to 2,660 shares on each of February 1, 2017, February 1, 2018 and February 1, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.