**APPLE INC** Form 4 March 01, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* WAGNER SUSAN

(First) (Middle) (Last)

1 INFINITE LOOP

(Street)

CUPERTINO, CA 95014

Security

(Instr. 3)

2. Issuer Name and Ticker or Trading

Symbol APPLE INC [AAPL]

3. Date of Earliest Transaction

(Month/Day/Year) 02/26/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

X\_ Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Ownership

Form: Direct

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(City) (State) (Zip) 1.Title of

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

Code V Amount (D) Price

(A) or

Securities Beneficially Owned Following Reported

5. Amount of

(Instr. 4) Transaction(s) (Instr. 3 and 4)

(D) or Indirect Beneficial Ownership (Instr. 4)

Indirect

7. Nature of

Persons who respond to the collection of SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise (Instr. 3) Price of

(Month/Day/Year)

3. Transaction Date 3A. Deemed Execution Date, if (Month/Day/Year)

5. Number 4. Transaction of Derivative Date Code Securities (Instr. 8) Acquired

6. Date Exercisable and Expiration (Month/Day/Year)

Underlying Securi (Instr. 3 and 4)

7. Title and Amou

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Derivative (A) or Security Disposed of (D)

(Instr. 3, 4, and 5)

Code V (A) (D) Date Exercisable Expiration Date Title

or Num of

Amo

Shar

Restricted

Stock Unit (2) 02/26/2016 A 2,580 02/01/2017(3) 02/01/2017(3) Common Stock

(1)

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WAGNER SUSAN 1 INFINITE LOOP

X

CUPERTINO, CA 95014

# **Signatures**

/s/ Sam Whittington, Attorney-in-Fact for Susan Wagner

\*\*Signature of Reporting Person Date

**Explanation of Responses:** 

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic grant pursuant to the 1997 Director Stock Plan.
- (2) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (3) 100% of these restricted stock units are scheduled to vest on February 1, 2017, assuming continued service through the applicable vesting date.

03/01/2016

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2