

HALCON RESOURCES CORP
 Form 4/A
 September 22, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WILSON FLOYD C

2. Issuer Name and Ticker or Trading Symbol
HALCON RESOURCES CORP [HK]

5. Relationship of Reporting Person(s) to Issuer
 (Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
 Chairman, CEO & President

(Last) (First) (Middle)
 1000 LOUISIANA STREET, SUITE 6700
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 09/12/2016

HOUSTON, TX 77002

4. If Amendment, Date Original Filed(Month/Day/Year)
 09/13/2016

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| | | | | Code | V | Amount | |
| Common Stock | | | | | 953,802 | D | |
| Common Stock | | | | | 688 | I | By Trusts <u>(1)</u> |
| Common Stock | | | | | 2,403 | I | By Family Trusts <u>(2)</u> |
| Common Stock | | | | | 324 | I | By Trust <u>(3)</u> |
| Common Stock | | | | | 4,292 | I | By Spouse |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|------------------|
| Employee Stock Option (Right to Buy) | \$ 9.24 ⁽⁴⁾ | 09/12/2016 | | A | 1,837,500 | ⁽⁵⁾ 09/12/2026 | Common Stock | 1,837,500 |
| Warrants (Right to Buy) | \$ 14.04 | | | | | 09/09/2016 09/09/2020 | Common Stock | 1,837,500 |
| Warrants (Right to Buy) | \$ 14.04 | | | | | 09/09/2016 09/09/2020 | Common Stock | 1,837,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| WILSON FLOYD C 1000 LOUISIANA STREET, SUITE 6700 HOUSTON, TX 77002 | | | Chairman, CEO & President | |

Signatures

Floyd C. Wilson 09/22/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held in trusts for the benefit of the reporting person's children. The reporting person's spouse is trustee of the trusts. The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting

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person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

- The shares are held in seventeen trusts for the benefit of family members for which the reporting person serves as the trustee. The
- (2) reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

- The shares are held in a trust for the benefit of the reporting person's grandchild for which the reporting person serves as the trustee. The
- (3) reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

- In accordance with the Plan approved by the Bankruptcy Court, the exercise price of the Options on was determined after the market
- (4) closed on September 20, 2016. All other details of the Option grant were reported to the Securities and Exchange Commission on September 13, 2016.

- (5) The option vests in three equal annual installments beginning September 12, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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