#### Edgar Filing: KELLOGG W K FOUNDATION TRUST - Form 4

#### KELLOGG W K FOUNDATION TRUST

Form 4

March 20, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **KELLOGG W K FOUNDATION TRUST** 

> (First) (Last)

(Middle)

C/O THE NORTHERN TRUST COMPANY, 50 S. LASALLE

(Street)

2. Issuer Name and Ticker or Trading Symbol

KELLOGG CO [K]

3. Date of Earliest Transaction

03/20/2019

(Month/Day/Year)

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Securities

Beneficially

Form filed by One Reporting Person X Form filed by More than One Reporting

CHICAGO, IL 60603

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

4. Securities Acquired (A) or 5. Amount of TransactiorDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Owned Following Reported Transaction(s) (Instr. 3 and 4) Price

7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

(I)

(Instr. 4)

64,531,838

Common Stock

03/20/2019

03/20/2019

Code V Amount S 100,000

\$ D

(A)

or

(D)

54.4409 (1)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exer | cisable and | 7. Titl | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------|------------|--------------|-------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration D | ate         | Amou    | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/  | /Year)      | Under   | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e            |             | Securi  | ities    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |             | Securities |              |             | (Instr. | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |             | Acquired   |              |             |         |          |             | Follo  |
|             |             |                     |                    |             | (A) or     |              |             |         |          |             | Repo   |
|             |             |                     |                    |             | Disposed   |              |             |         |          |             | Trans  |
|             |             |                     |                    |             | of (D)     |              |             |         |          |             | (Instr |
|             |             |                     |                    |             | (Instr. 3, |              |             |         |          |             |        |
|             |             |                     |                    |             | 4, and 5)  |              |             |         |          |             |        |
|             |             |                     |                    |             |            |              |             |         |          |             |        |
|             |             |                     |                    |             |            |              |             |         | Amount   |             |        |
|             |             |                     |                    |             |            | Date         | Expiration  |         | or       |             |        |
|             |             |                     |                    |             |            | Exercisable  | Date        |         | Number   |             |        |
|             |             |                     |                    |             |            |              |             |         | of       |             |        |
|             |             |                     |                    | Code V      | (A) (D)    |              |             |         | Shares   |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |  |
| KELLOGG W K FOUNDATION TRUST<br>C/O THE NORTHERN TRUST COMPANY<br>50 S. LASALLE<br>CHICAGO, IL 60603 |               | X         |         |       |  |  |  |
| KELLOGG W K FOUNDATION<br>ONE MICHIGAN AVENUE EAST<br>BATTLE CREEK, MI 49017                         |               | X         |         |       |  |  |  |

## **Signatures**

Craig R. Carberry, Associate General Counsel, The Northern Trust Company, as Corporate Trustee of the W.K. Kellogg Foundation Trust and signing for the W.K. Kellogg Foundation pursuant to a Power of Attorney dated August 30, 2017

03/20/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

64,531,838 shares were owned following the reported transaction by the W.K. Kellogg Foundation Trust (the "Trust") of which LaJune **(1)** Montgomery Tabron, Steve Cahillane, Ramon Murguia and The Northern Trust Company are Trustees and the W.K. Kellogg Foundation (the "Foundation") is the sole beneficiary.

#### **Remarks:**

The sales reported on this Form 4 were made pursuant to trading instructions given by the W.K. Kellogg Foundation Trust on Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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