#### **TAYLOR ROBERT LEWIS**

Form 4

October 10, 2006

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

January 31,

**OMB** 

Number:

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * TAYLOR ROBERT LEWIS   |  |   | 2. Issuer Name and Ticker or Trading Symbol S Y BANCORP INC [SYBT]  |  |   |   | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)   |  |   |  |
|---|--|---|---|--|---|---|--|--|---|--|
| (Last) (First) (Middle) 1516 SYLVAN WAY   |  |   | 3. Date of Earliest Transaction (Month/Day/Year) 09/25/2006   |  |   |   | X Director 10% Owner Officer (give title below) Other (specify below)  |  |   |  |
| (Street)  |  |   | 4. If Amendment, Date Original Filed(Month/Day/Year)  |  |   |   | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting  |  |   |  |
|   | (Zin)  | m :   |   | <b>5</b>   | G   | •.•   | Person   |  | * • • • • • •   |  |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |   |   |  |   |   |  |  |   |  |
| 2. Transaction Date<br>(Month/Day/Year)   | Execution I any  | Date, if  | Code (Instr. 8)   | on(A) or Dis<br>(Instr. 3, 4   | sposed  | of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)   | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)   | 7. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |  |
|   |  |   |   |  |   |   | 2,100  | D  |   |  |
| 09/25/2006  |  |   | P   | 67.912   | A   | \$<br>29.45   | 2,889.779  | I  | Trust-Directors<br>Deferred Comp<br>Plan  |  |
| 10/03/2006  |  |   | P   | 14.595   | A   | \$<br>28.72   | 2,904.374  | I  | Trust-Directors'<br>Deferred Comp<br>Plan   |  |
|   | (First)  VAN WAY (Street)  LLE, KY 40205 (State)  2. Transaction Date (Month/Day/Year) | (First) (Middle)  VAN WAY (Street)  LLE, KY 40205 (State) (Zip)  2. Transaction Date (Month/Day/Year) Execution I any (Month/Da | ROBERT LEWIS Symbol S Y B  (First) (Middle) 3. Date (Month.) VAN WAY 09/25/ (Street) 4. If An Filed(M  LLE, KY 40205  (State) (Zip) Ta  2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)  09/25/2006 | ROBERT LEWIS  Symbol S Y BANCORI  (First) (Middle) 3. Date of Earliest (Month/Day/Year) VAN WAY 09/25/2006  (Street) 4. If Amendment, I Filed(Month/Day/Year)  LLE, KY 40205  (State) (Zip) Table I - Non 2. Transaction Date (Month/Day/Year) Execution Date, if Transaction any Code (Month/Day/Year) (Instr. 8)  Code V  09/25/2006 | ROBERT LEWIS  Symbol S Y BANCORP INC [S' (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  VAN WAY  09/25/2006  4. If Amendment, Date Origin Filed(Month/Day/Year)  LLE, KY 40205  (State) (Zip)  Table I - Non-Derivativ  2. Transaction Date (Month/Day/Year)  Execution Date, if Transaction(A) or Disany Code (Instr. 3, 4 (Month/Day/Year))  (Month/Day/Year) (Instr. 8)  Code V Amount | ROBERT LEWIS  Symbol S Y BANCORP INC [SYBT]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  VAN WAY  09/25/2006  (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  LLE, KY 40205  (State) (Zip) Table I - Non-Derivative Secution Date, if Transaction(A) or Disposed any Code (Instr. 3, 4 and 5) (Month/Day/Year)  (Month/Day/Year) (Instr. 8)  (A) or Code V Amount (D) | ROBERT LEWIS  Symbol S Y BANCORP INC [SYBT]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  VAN WAY 09/25/2006  (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)  LLE, KY 40205  (State) (Zip) Table I - Non-Derivative Securities Ac  2. Transaction Date (Month/Day/Year)  Execution Date, if Transaction(A) or Disposed of (D) any Code (Instr. 3, 4 and 5) (Month/Day/Year)  (A) or Code V Amount (D) Price | ROBERT LEWIS  Symbol S Y BANCORP INC [SYBT]  (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  VAN WAY  09/25/2006  4. If Amendment, Date Original Filed(Month/Day/Year)  (Street)  4. If Amendment, Date Original Filed(Month/Day/Year)  (State)  (Zip)  Table I - Non-Derivative Securities Acquired, Disposed (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  3. | ROBERT LEWIS  Symbol S Y BANCORP INC [SYBT]  (First)  (Middle) 3. Date of Earliest Transaction (Month/Day/Year)  (Month/Day/Year)  (Street)  4. If Amendment, Date Original Filed(Month/Day/Year)  (State)  (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially  (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (A)  (Month/Day/Year)  (Month/Day/Year)  (A)  (Month/Day/Year)  (A)  (Month/Day/Year)  (A)  (Month/Day/Year)  (A)  (Month/Day/Year)  (A)  (A)  (Transaction(s) (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (A)  (A)  (Transaction(s) (Month/Day/Year)  (Instr. 3)  (A)  (Instr. 3 and 4)  (Instr. 3 and 4)  (Instr. 3 and 4)  2,100  D |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

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# displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. TransactionNumber Code of (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         |                  |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8 II S ( |
|---|---|---|---|---|---------|------------------|--------------------|---|--|----------|
|   |   |   |   | Code V  | (A) (D) | Date Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |          |
| Option<br>(Right to<br>Buy)                         | \$ 18.0476  |   |   |   |         | 07/15/2004(1)    | 07/15/2013         | Common<br>Stock   | 2,100<br>(3)                           |          |
| Option<br>(Right to<br>Buy)                         | \$ 20.2476<br>(4)   |   |   |   |         | 04/21/2005(2)    | 04/21/2014         | Common<br>Stock   | 1,050<br>(4)                           |          |

## **Reporting Owners**

| Reporting Owner Name / Address                                 | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |
| TAYLOR ROBERT LEWIS<br>1516 SYLVAN WAY<br>LOUISVILLE, KY 40205 | X             |           |         |       |  |  |

### **Signatures**

/s //Robert Lewis
Taylor

\*\*Signature of Reporting Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options vest 20% per year beginning 7/15/2004
- (2) These options vest 20% per year beginning 4/21/2005
- (3) This option was previously reported as covering 2,000 shares at \$18.95 per share, but was adjusted to reflect the 5/26/06 5% stock dividend.

Reporting Owners 2

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(4) This option was previously reported as covering 1,000 shares at \$21.26 per share, but was adjusted to reflect the 5/26/06 5% stock dividend.

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