

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

Small Cap Premium & Dividend Income Fund, Inc.
Form NSAR-B
March 02, 2009

PAGE 1
000 B000000 12/31/2008
000 C000000 0001322979
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 SMALL CAP PREMIUM & DIVIDEND INCOME FUND INC.
001 B000000 811-21746
001 C000000 8774494742
002 A000000 P.O. BOX 9011
002 B000000 PRINCETON
002 C000000 NJ
002 D010000 08543
002 D020000 9011
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 IQ INVESTMENT ADVISORS LLC
008 B000001 A
008 C000001 801-63151
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10080
008 A000002 BLACKROCK INVESTMENT MANAGEMENT, LLC
008 B000002 S
008 C000002 801-56972
008 D010002 PLAINSBORO
008 D020002 NJ
008 D030002 08536
010 A000001 PRINCETON ADMINISTRATORS, LLC
010 C010001 PRINCETON
010 C020001 NJ
010 C030001 08543
010 C040001 9095
012 A000001 BNY MELLON SHAREOWNER SERVICES
PAGE 2
012 B000001 85-05006
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10286
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 PRINCETON

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

013 B020001 NJ
013 B030001 08540
014 A000001 BB & T AM DISTRIBUTORS, INC.
014 B000001 8-52396
014 A000002 BLACKROCK CAPITAL MARKETS, LLC
014 B000002 8-67827
014 A000003 BLACKROCK DISTRIBUTORS, INC.
014 B000003 8-048775
014 A000004 BLACKROCK INVESTMENTS, INC.
014 B000004 8-048436
014 A000005 HARRIS WILLIAMS LLC
014 B000005 8-53380
014 A000006 MGI FUNDS DISTRIBUTOR, INC.
014 B000006 8-046960
014 A000007 MLPF&S AND OTHER ML BROKER/DEALER AFFILIATES
014 B000007 8-7221
014 A000008 NORTHERN FUNDS DISTRIBUTORS, LLC
014 B000008 8-05242
014 A000009 PNC CAPITAL MARKETS, INC.
014 B000009 8-32493
014 A000010 PNC INVESTMENTS LLC
014 B000010 8-066195
014 A000011 PFPC DISTRIBUTORS, INC.
014 B000011 8-045467
014 A000012 DSP MERRILL LYNCH LIMITED
014 B000012 8-0000000
014 A000013 FAM DISTRIBUTORS, INC.
014 B000013 8-14663
014 A000014 FIRST REPUBLIC SECURITIES COMPANY, LLC
014 B000014 8-52973
014 A000015 GPC SECURITIES, INC.
014 B000015 8-30108
014 A000016 BERNDALD SECURITIES LIMITED
014 B000016 8-0000000
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02101
015 E010001 X
015 A000002 THE BANK OF NEW YORK MELLON
015 B000002 S
015 C010002 NEW YORK
015 C020002 NY
015 C030002 10286
PAGE 3
015 E010002 X
015 A000003 J.P. MORGAN CHASE BANK
015 B000003 S
015 C010003 NEW YORK
015 C020003 NY
015 C030003 10017
015 E010003 X
018 000000 Y
019 A000000 Y
019 B000000 10
019 C000000 IQINVADVIS
020 A000001 CITIGROUP GLOBAL MARKETS, INC.
020 B000001 11-2418191
020 C000001 35
020 A000002 DEUTSCHE BANK SECURITIES INC.
020 B000002 13-2730828

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

020 C000002 28
 020 A000003 UBS SECURITIES LLC
 020 B000003 13-3873456
 020 C000003 21
 020 A000004 BLOOMBERG TRADEBOOK LLC
 020 C000004 10
 020 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
 020 B000005 13-5674085
 020 C000005 8
 020 A000006 MORGAN STANLEY & CO. INCORPORATED
 020 B000006 13-2655998
 020 C000006 3
 020 A000007 LEHMAN BROTHERS INC.
 020 B000007 13-2518466
 020 C000007 3
 020 A000008 CREDIT SUISSE SECURITIES (USA) L.L.C.
 020 B000008 13-5659485
 020 C000008 1
 020 A000009 WEEDEN & CO. L.P.
 020 B000009 13-3664318
 020 C000009 1
 020 A000010 GOLDMAN, SACHS & CO.
 020 B000010 13-5108880
 020 C000010 1
 021 000000 111
 022 A000001 BROWN BROTHERS HARRIMAN & CO.
 022 B000001 13-4973745
 022 C000001 6453407
 022 D000001 0
 022 A000002 STATE STREET GLOBAL MARKETS, LLC
 022 C000002 1194866
 022 D000002 0
 022 A000003 CITIGROUP GLOBAL MARKETS, INC.
 022 B000003 11-2418191
 022 C000003 23995
 PAGE 4
 022 D000003 26054
 022 A000004 NYC POLICE DEPARTMENT PENSION FUND
 022 B000004 13-6357165
 022 C000004 979
 022 D000004 1708
 022 A000005 J.P. MORGAN SECURITIES INC.
 022 B000005 13-3224016
 022 C000005 1341
 022 D000005 975
 022 A000006 GOLDMAN, SACHS & CO.
 022 B000006 13-5108880
 022 C000006 1013
 022 D000006 981
 022 A000007 CREDIT SUISSE SECURITIES (USA) L.L.C.
 022 B000007 13-5659485
 022 C000007 755
 022 D000007 762
 022 A000008 DEUTSCHE BANK SECURITIES INC.
 022 B000008 13-2730828
 022 C000008 617
 022 D000008 598
 022 A000009 NYC BOARD OF EDUCATION RETIREMENT SYSTEM
 022 C000009 857
 022 D000009 232
 022 A000010 NYC FIRE DEPARTMENT PENSION FUND
 022 C000010 835

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

022	D000010	148
023	C000000	7680684
023	D000000	33578
024	000000	N
026	A000000	N
026	B000000	N
026	C000000	N
026	D000000	Y
026	E000000	N
026	F000000	N
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.900
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
	PAGE	5
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	N
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
055	A000000	N
055	B000000	N

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

056	000000	Y	
057	000000	N	
058	A000000	N	
059	000000	Y	
060	A000000	Y	
060	B000000	Y	
061	000000		0
062	A000000	N	
062	B000000		0.0
062	C000000		0.0
062	D000000		0.0
062	E000000		0.0
062	F000000		0.0
062	G000000		0.0
062	H000000		0.0
	PAGE	6	
062	I000000		0.0
062	J000000		0.0
062	K000000		0.0
062	L000000		0.0
062	M000000		0.0
062	N000000		0.0
062	O000000		0.0
062	P000000		0.0
062	Q000000		0.0
062	R000000		0.0
066	A000000	Y	
066	B000000	N	
066	C000000	N	
066	D000000	N	
066	E000000	N	
066	F000000	Y	
066	G000000	N	
067	000000	N	
068	A000000	N	
068	B000000	N	
069	000000	N	
070	A010000	Y	
070	A020000	N	
070	B010000	Y	
070	B020000	Y	
070	C010000	N	
070	C020000	N	
070	D010000	Y	
070	D020000	N	
070	E010000	Y	
070	E020000	N	
070	F010000	Y	
070	F020000	Y	
070	G010000	Y	
070	G020000	N	
070	H010000	Y	
070	H020000	N	
070	I010000	N	
070	I020000	N	
070	J010000	Y	
070	J020000	N	
070	K010000	Y	
070	K020000	Y	
070	L010000	Y	
070	L020000	Y	
070	M010000	N	

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

070 M020000 N
 070 N010000 Y
 070 N020000 N
 070 O010000 Y
 070 O020000 N
 PAGE 7
 070 P010000 Y
 070 P020000 Y
 070 Q010000 N
 070 Q020000 N
 070 R010000 Y
 070 R020000 N
 071 A000000 35370
 071 B000000 47631
 071 C000000 172250
 071 D000000 21
 072 A000000 12
 072 B000000 379
 072 C000000 2328
 072 D000000 0
 072 E000000 0
 072 F000000 1776
 072 G000000 87
 072 H000000 0
 072 I000000 35
 072 J000000 44
 072 K000000 0
 072 L000000 30
 072 M000000 64
 072 N000000 0
 072 O000000 0
 072 P000000 0
 072 Q000000 0
 072 R000000 44
 072 S000000 40
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 99
 072 X000000 2219
 072 Y000000 0
 072 Z000000 488
 072AA000000 42506
 072BB000000 44808
 072CC010000 0
 072CC020000 74213
 072DD010000 27082
 072DD020000 0
 072EE000000 0
 073 A010000 0.0700
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 1.9300
 074 A000000 0
 074 B000000 0
 074 C000000 7711
 074 D000000 0
 PAGE 8
 074 E000000 0
 074 F000000 124289
 074 G000000 0
 074 H000000 0

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

074	I000000	181
074	J000000	97
074	K000000	0
074	L000000	265
074	M000000	0
074	N000000	132543
074	O000000	0
074	P000000	15
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	2946
074	R040000	1193
074	S000000	0
074	T000000	128389
074	U010000	13438
074	U020000	0
074	V010000	9.55
074	V020000	0.00
074	W000000	0.0000
074	X000000	10052
074	Y000000	5401
075	A000000	0
075	B000000	197323
076	000000	8.67
077	A000000	Y
077	B000000	Y
078	000000	N
080	A000000	ICI MUTUAL INSURANCE COMPANY
080	C000000	600
081	A000000	Y
081	B000000	9
082	A000000	N
082	B000000	0
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0
086	A020000	0
086	B010000	207
086	B020000	3055
086	C010000	0
086	C020000	0
086	D010000	0
	PAGE	9
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	83165P101
087	A030000	RCC
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	DONALD C. BURKE	
TITLE	ASSISTANT TREASURER	

