

COLUMBUS MCKINNON CORP
 Form 4
 May 22, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Steinberg Richard A

2. Issuer Name and Ticker or Trading Symbol
 COLUMBUS MCKINNON CORP
 [CMCO]

5. Relationship of Reporting Person(s) to Issuer
 (Check all applicable)

(Last) (First) (Middle)
 140 JOHN JAMES AUDUBON
 PARKWAY
 (Street)

3. Date of Earliest Transaction
 (Month/Day/Year)
 05/20/2014

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
 V.P. - Human Resources

AMHERST, NY 14228-1197

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| | | | | Code | V | Amount | |
| Common Stock | | | | | | D | |
| Common Stock | 05/20/2014 | | F | 188 ⁽¹⁾ | \$ 27.17 | D | |
| Common Stock | | | | | 974.5191 ⁽³⁾ | D | |
| Common Stock | | | | | 470,755.4809 | I | Additional shares held by ESOP; reporting |

person is 1 of
3 trustees;
DISCLAIMS
beneficial
ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Incentive Stock Options (Right to Buy) | \$ 21.61 | | | | | 10/16/2006 | 10/15/2015 | Common Stock | 45,000 |
| Incentive Stock Options (Right to Buy) | \$ 28.45 | | | | | 05/19/2009 | 05/18/2018 | Common Stock | 1,307 |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.27 | | | | | 05/18/2010 | 05/17/2019 | Common Stock | 3,804 |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24 | | | | | 05/17/2011 | 05/16/2020 | Common Stock | 2,795 |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5 | | | | | 05/23/2012 | 05/22/2021 | Common Stock | 2,685 |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.43 | | | | | 05/21/2013 | 05/20/2022 | Common Stock | 4,528 |
| | \$ 18.95 | | | | | 05/20/2014 | 05/20/2023 | | |

| | | | | | |
|--|----------|------------|------------|-----------------|---------------------|
| Non-Qualified Stock Options (Right to Buy) | | | | Common Stock | 3,771 <u>(9)</u> |
| Non-Qualified Stock Options (Right to Buy) | \$ 27.12 | 05/19/2015 | 05/19/2024 | Common Stcok | 1,309 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Steinberg Richard A 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197 | | | V.P. - Human Resources | |

Signatures

Richard A.
Steinberg

05/22/2014

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 447 restricted stock units on 5/20/2014.
Includes 9,590 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 711 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014, 1,129 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015, 1,341 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, 2,359 shares become fully vested and non-forfeitable on 5/21/2015, 1,309 shares become fully vested and non-forfeitable 25% for four years beginning 5/19/2015, 1,668 shares become fully vested and non-forfeitable on 5/23/2014 and the remaining 1,073 shares become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer. This footnote corrects the information included in footnote number 4 on Form 4 filed on 5/21/2014.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) All exercisable, subject to IRS limitations.
- (5) All exercisable, subject to IRS limitations.
- (6) All exercisable, subject to IRS limitations.
- (7) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.