

COLUMBUS MCKINNON CORP
Form 4
May 20, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Steinberg Richard A

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
05/18/2015

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
V.P. - Human Resources

140 JOHN JAMES AUDUBON
PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

AMHERST, NY 14228-1197

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock					15,749.6476	D	
Common Stock	05/18/2015		A		\$ 1,445 ⁽¹⁾	A	\$ 24.94
Common Stock	05/19/2015		F		139.0149 ⁽²⁾	D	\$ 24.8 ⁽³⁾
Common Stock					1,030.3018 ⁽⁴⁾	D	
Common Stock					430,593.6982	I	Additional shares held by

ESOP;
reporting
person is 1 of
3 trustees;
DISCLAIMS
beneficial
ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 21.61					10/16/2006	10/15/2015	Common Stock	12,4
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	1,3
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	3,8
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	2,7
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	2,6
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	4,5

Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	3,79
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	1,3
Non-Qualified Stock Options (Right to Buy)	\$ 24.94	05/18/2015	A	4,162		05/18/2016	05/17/2025	Common Stock	4,1

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Steinberg Richard A 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197			V.P. - Human Resources	

Signatures

Richard A. Steinberg 05/20/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 329.0149 restricted stock units on 5/19/2015.
- (3) Includes 8,712.6327 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 358.7186 shares become fully vested and non-forfeitable on 5/23/2015, 1,137.622 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015, 1,351.2411 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, 2,359 shares become fully vested and non-forfeitable on 5/21/2015, 988.051 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/19/2016, 1,073 shares become fully vested and non-forfeitable on 5/20/2016, and the remaining 1,445 shares become fully vested and non-forfeitable 25% for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (4) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (5) The reporting person exercised 10,000 options on 2/25/2015. The remaining 12,409 options are all exercisable, subject to IRS limitations.
- (6) All exercisable, subject to IRS limitations.
- (7) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

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- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term
- (11) Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.