

FINJAN HOLDINGS, INC.  
Form 8-K  
April 01, 2019

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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FORM 8-K

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CURRENT REPORT

Pursuant to Section 13 or 15(d) of  
The Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): April 1, 2019

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FINJAN HOLDINGS, INC.  
(Exact name of registrant as specified in its charter)

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| Delaware<br>(State or other jurisdiction<br>of incorporation) | 000-33304<br>(Commission<br>File Number)  | 20-4075963<br>(IRS Employer<br>Identification No.) |
|   | 2000 University Avenue, Suite 600, East Palo Alto, CA<br>(Address of principal executive offices) | 94303<br>(Zip Code)                                |

Registrant's telephone number, including area code: 650-282-3228

(Former name or former address, if changed since last report)

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Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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Item 8.01. Other Events.

On April 1, 2019, Finjan Holdings, Inc. (the “Company”) and its wholly-owned subsidiary, Finjan, Inc. (“Finjan”), announced that on March 25, 2019, the United States Patent & Trademark Office’s (“USPTO”) Patent Trial and Appeal Board (“PTAB”) entered a Decision Denying Institution of Inter Partes Review (“IPR”) against Juniper Networks, Inc. (“Juniper”). In its petition, Case IPR2019-00031, Juniper sought to invalidate Claim 1 of Finjan’s U.S. Patent No. 8,141,154 (“the ‘154 Patent”). In denying institution of the IPR under 35 U.S.C § 314(a), the PTAB relied on its, and the Court of Appeals for the Federal Circuit’s, prior claim construction, and determined that Juniper failed to establish a reasonable likelihood that Claim 1 is unpatentable in light of asserted prior art.

A copy of the press release is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

The information in this current report on Form 8-K and the exhibit attached hereto shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the “Exchange Act”) or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, regardless of any general incorporation language in such filing.

Item 9.01. Financial Statements and Exhibits

(d) Exhibits.

| Exhibit No. | Description   |
|-------------|---|
| 99.1        | <u>Press Release, dated April 1, 2019, entitled “PTAB Rejects Juniper’s Attempt to Invalidate Claim 1 of Finjan’s ‘154 Patent – Decision Precedes Hearing on Finjan’s Summary Judgment Motion Regarding Infringement by Juniper in District Court.”</u> |

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

FINJAN HOLDINGS, INC.

Date: April 1, 2019 By: /s/ Philip Hartstein  
Philip Hartstein  
President & Chief Executive Officer