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Firsthand Technology Value Fund, Inc. Form 3 November 14, 2014 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB approval

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

PersonStatement Petredis Nicholas P(Month/Day/Year)		<sup>3</sup> 3. Issuer Name <b>and</b> Ticker or Trading Symbol Firsthand Technology Value Fund, Inc. [SVVC]				
11/07/2014		1 0	5. If Amendment, Date Original Filed(Month/Day/Year)			
ΓE	(Check al	,				
	X Director Officer (give title below)	Other	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting			
			Person Form filed by More than One Reporting Person			
Table I - N	Non-Derivativ	ve Securities	Beneficially Owned			
	Owned ()	Ownership O Form: (I: Direct (D) or Indirect (I)	Nature of Indirect Beneficial wnership nstr. 5)			
or each class of securities benefic	ially SE(	C 1473 (7-02)				
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. Table II - Derivative Securities Beneficially Owned ( <i>e.g.</i> , puts, calls, warrants, options, convertible securities)						
	Statement (Month/Day/Year) 11/07/2014 TE Table I - N 2. Amount o Beneficially (Instr. 4) or each class of securities benefic respond to the collection of ontained in this form are not spond unless the form displ d OMB control number.	Statement (Month/Day/Year)   Firsthand Te     11/07/2014   4. Relationship Person(s) to Iss     TE   (Check at X_ Director Officer (give title below)     Table I - Non-Derivative 2. Amount of Securities Beneficially Owned (Instr. 4)     Director     Officer     give title below)     Securities     Director     Officer     (give title below)     Statement     Securities     Securities     Beneficially Owned     (Instr. 4)     Securities     Securities     Securities     Securities     Director     Securities     Officer     Securities     Securities <t< td=""><td>Statement (Month/Day/Year) 11/07/2014 4. Relationship of Reporting Person(s) to Issuer TE (Check all applicable) <u></u></td></t<>	Statement (Month/Day/Year) 11/07/2014 4. Relationship of Reporting Person(s) to Issuer TE (Check all applicable) <u></u>			

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	Ownership Benefic Form of (Instr. :	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I)	

(Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address Relationsh	Relationships				
Director 10% Owner	Officer	Other			
Petredis Nicholas P 150 ALMADEN BLVD., SUITE 1250 Â X Â SAN JOSE, CA 95113	Â	Â			
Signatures					
/s/ Nicholas P. 11/14/2014 Petredis					

<u>\*\*</u>Signature of Reporting Person

#### Date

# **Explanation of Responses:**

### No securities are beneficially owned

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.