Firsthand Technology Value Fund, Inc.

Form 4

March 25, 2015

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number: 3235-0287

**OMB APPROVAL** 

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Landis Kevin M Issuer Symbol Firsthand Technology Value Fund, (Check all applicable) Inc. [SVVC] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 150 ALMADEN BLVD., SUITE 03/23/2015 President 1250 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SAN JOSE, CA 95113

| (City)                                | (State) (Zi                             | p) Table I  | - Non-De                               | rivative So   | ecurit | ies Acqui  | red, Disposed of,                             | or Beneficiall  | y Owned |
|---------------------------------------|---|---|--|---|--------|--|---|---|---------|
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5) |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |         |
|                                       |   |   | Code V                                 | Amount  | or     | Price  | Transaction(s) (Instr. 3 and 4)               | (Instr. 4)  |         |
| Firsthand<br>Technology<br>Value Fund | 03/23/2015                              |   | P                                      | 803   | ` ′    | \$<br>14.01  | 110,216                                       | D   |         |
| Firsthand<br>Technology<br>Value Fund | 03/23/2015                              |   | P                                      | 97  | A      | \$<br>14.01  | 110,313                                       | D   |         |
| Firsthand<br>Technology<br>Value Fund | 03/23/2015                              |   | P                                      | 100   | A      | \$<br>14.01  | 110,413                                       | D   |         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title     | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|--------------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D  | ate         | Amou         | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Under        | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securi       | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr.      | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |               |             |              |          |             | Follo  |
|             | •           |                     |                    |            | (A) or     |               |             |              |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |              |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |              |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |              |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |              |          |             |        |
|             |             |                     |                    |            |            |               |             |              | Amount   |             |        |
|             |             |                     |                    |            |            |               |             |              | Amount   |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |              | or       |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        | Title Number |          |             |        |
|             |             |                     |                    | C 1 W      | (A) (D)    |               |             |              | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |              | Shares   |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |      |  |  |  |
|--------------------------------|---------------|-----------|---------|------|--|--|--|
|                                | Director      | 10% Owner | Officer | Othe |  |  |  |

Landis Kevin M 150 ALMADEN BI

150 ALMADEN BLVD., SUITE 1250 X President SAN JOSE, CA 95113

## **Signatures**

/s/ Kevin Landis 03/25/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Reporting Owners 2