Firsthand Technology Value Fund, Inc.

Form 4

January 31, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Landis Kevin M

2. Issuer Name and Ticker or Trading Symbol

Firsthand Technology Value Fund,

Inc. [SVVC]

(Last) (First) (Middle)

150 ALMADEN BLVD., SUITE 1250

3. Date of Earliest Transaction

01/30/2017

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

10% Owner

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

_X__ Director (Month/Day/Year) below)

Other (specify X_ Officer (give title

President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

SAN JOSE, CA 95136

(City)	(State) (Z	ip) Table	I - Non-De	erivative S	ecuri	ties Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Firsthand Technology Value Fund, Inc.	01/30/2017		Code V	Amount 1,807	(D)	Price \$ 7.55	(Instr. 3 and 4) 194,055	D	
Firsthand Technology Value Fund, Inc.	01/30/2017		Р	1,000	A	\$ 7.5599	195,055	D	
Firsthand Technology Value Fund,	01/30/2017		P	193	A	\$ 7.56	195,248	D	

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Firsthand Technology Value Fund, Inc.	01/30/2017	P	1,000	A	\$ 7.63	196,248	D
Firsthand Technology Value Fund, Inc.	01/30/2017	P	1,000	A	\$ 7.639	197,248	D
Firsthand Technology Value Fund, Inc.	01/30/2017	P	1,000	A	\$ 7.67	198,248	D
Firsthand Technology Value Fund, Inc.	01/30/2016	P	1,000	A	\$ 7.69	199,248	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired			`		
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
					i, and 5)					
									Amount	
						Date	Expiration		or	
						Exercisable Date	Title Number			
							Exercisable	Date		of
				Code V	(A) (D)				Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
	X		President				

Reporting Owners 2

Landis Kevin M 150 ALMADEN BLVD., SUITE 1250 SAN JOSE, CA 95136

Signatures

/s/ Kevin Landis 01/31/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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