#### **COLUMBUS MCKINNON CORP**

Form 4 May 20, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Paradowski Mark R

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

COLUMBUS MCKINNON CORP

(Check all applicable)

VP - Information Services

[CMCO]

(Middle)

3. Date of Earliest Transaction (Month/Day/Year) 05/18/2015

Director 10% Owner Other (specify X\_ Officer (give title below)

140 JOHN JAMES AUDUBON **PARKWAY** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

AMHERST, NY 14228

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative Se	curiti	es Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities order Disposed (Instr. 3, 4 and	of (D) nd 5)  (A) or	` ,	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock			Code V	Amount	(D)	Price	6,597.7245	D	
Common Stock	05/18/2015		A	1,430 (1)	A	\$ 24.94	8,027.7245	D	
Common Stock	05/19/2015		F	132.9348 (2)	D	\$ 24.8	7,894.7897 (3)	D	
Common Stcok							1,501.9591 (4)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Option (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	30
Non-Qualified Stock Option (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	1,4
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	2,1
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/19/2023	Common Stock	1,9
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	3,1
Non-Qualified Stock Options (Right to Buy)	\$ 24.94	05/18/2015		A	4,118	05/18/2016	05/17/2025	Common Stock	4,1

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting 6 wher runne, runness	Director	10% Owner	Officer	Other			
Paradowski Mark R			VP -				
140 JOHN JAMES AUDUBON PARKWAY			Information				
AMHERST, NY 14228			Services				

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## **Signatures**

Mark R.

Paradowski 05/20/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part, become fully vested and non-forfeitable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 315.9348 restricted stock units on 5/19/2014.
  - Includes 3,827.7897 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 192.4586 shares become fully vested and non-forfeitable on 5/23/2015; 544.124 shares become fully vested and non-forfeitable 50% for two years beginning
- (3) 5/21/2015; 710.3841 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015; and 950.823 shares become vested 33.33% per year for three years beginning 5/19/2016; and the remaining 1,430 shares become fully vested and non-forfeitable 25% for four years beginning 5/19/2016, if reporting person remains an employee of issuer.
- (4) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (5) All exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term (10) Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years
- (10) Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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