Edgar Filing: TRICO BANCSHARES / - Form 4

| TRICO BAN | CSHARES / | | | | | | | | | | |
|--|--|------------|---|---|----------------|-----------|--|---|------------------------|-----------|--|
| Form 4 | | | | | | | | | | | |
| November 12 | | | | | | | | | | | |
| FORM | 4 UNITED | SECUR | ITIES A | ND EX | СНА | NGE (| COMMISSION | | | | |
| | UNITED | SIAILS | | hington, | | | | | OMB Number: | 3235-0287 | |
| Check the if no long | CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | Expires: | January 31, | | | |
| subject to Section 1 Form 4 o | | | | | | | Expires: 2005 Estimated average burden hours per response 0.5 | | | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | 1 | | | | | |
| (Print or Type F | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> CUSHMAN M J | | | 2. Issuer Name and Ticker or Trading Symbol TRICO BANCSHARES / [TCBK] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) (I | Middle) | 3. Date of Earliest Transaction (Chec | | | | (Chec) | k all applicable) | | | |
| (| | | (Month/Day/Year) 11/10/2015 | | | | | Director 10% Owner X Officer (give title Other (specify below) below) EVP, Strategic Initiatives | | | |
| | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person | | | |
| CHICO, CA | . 95973 | | | | | | | Form filed by M Person | lore than One Re | porting | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, any (Month/Day/Year) | | n Date, if | ate, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) /Year) (Instr. 8) (A) | | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial | | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | 11/10/2015 | | | М | 412 <u>(1)</u> | А | <u>(1)</u> | 72,510 | D | | |
| Common Stock | 11/10/2015 | | | F | 120 | D | \$ 28.77 | 72,390 | D | | |
| Common Stock | 11/12/2015 | | | J <u>(2)</u> | 0 | А | \$0 | 112 | Ι | By Spouse | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | Derivative | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Underlying Securities (Instr. 3 and 4) | | 8. Price Derival Securit (Instr. 5 |
|---|---|---|---|---------------------------------------|------------|--|--------------------|--|--|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | <u>(1)</u> | 11/10/2015 | | М | 412 | <u>(1)</u> | (1) | Common Stock | 412 | \$ 0 |

Reporting Owners

| Reporting Owner Name / Address | | | Relationships | | | |
|---|----------|-----------|----------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| CUSHMAN M J 63 CONSTITUTION DRIVE CHICO, CA 95973 | | | EVP, Strategic Initiatives | | | |
| Signatures | | | | | | |
| /S/ Michael Cushman By Ryan Attorney-in-Fact | Bryson | | 11/12/2015 | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Result of vesting of an RSU granted on November 10, 2014 that was vested on November 10, 2015.

(2) No transaction occurred among these shares. Intended only to reflect number of shares beneficially owned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.