TRICO BANCSHARES /

Form 4/A June 09, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Issuer

Estimated average

burden hours per

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

See Instruction 1(b).

(Print or Type Responses)

CARNEY CRAIG B

1. Name and Address of Reporting Person *

| | | TRICO | TRICO BANCSHARES / [TCBK] | | | | (Check all applicable) | | | |
|---|---|---|---|---|---|-----|--|--|----------------|--|
| (Last) 63 CONSTI | (Month/D | 3. Date of Earliest Transaction (Month/Day/Year) 05/19/2016 | | | | | Director 10% Owner Specify below) EVP & Chief Credit Officer | | | |
| CHICO, CA | (Street) | Filed(Mor | 4. If Amendment, Date Original Filed(Month/Day/Year) 05/23/2016 | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed | | | | | | | | of, or Beneficially Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | | ed (A) or ed of (D) 3, 4 and 5) (A) or | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 06/09/2016 | | J(1)(2) | 0 | A | \$0 | 14,679 | D | | |
| Common Stock | 06/09/2016 | | J(1)(2) | 0 | A | \$0 | 14,163.48 | I | By ESOP | |
| Common Stock | 06/09/2016 | | J(1)(2) | 0 | A | \$0 | 122.79 | I | By Children | |
| Common Stock | 06/09/2016 | | J(1)(2) | 0 | A | \$0 | 128.93 | I | By Children | |
| Common Stock | 06/09/2016 | | J(1)(2) | 0 | A | \$0 | 112.71 | I | By Children | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|---|-----|--|--------------------|---|--|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Units | \$ 0 (1) (3) | 05/19/2016 | | A | 1,582 | | (3) | 05/19/2020 | Common Stock | 1,582 |
| Restricted Stock Units | \$ 0 (1) (4) | 05/19/2016 | | A | 1,113 | | <u>(4)</u> | 05/18/2017 | Common Stock | 1,113 |
| Performance Stock Units | \$ 0 (1) (5) | 05/19/2016 | | A | 1,582 | | <u>(5)</u> | 05/18/2019 | Common Stock | 1,582 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CARNEY CRAIG B 63 CONSTITUTION DR CHICO, CA 95973

EVP & Chief Credit Officer

Signatures

/S/ Craig B Carney By Ryan Bryson Attorney-in-Fact

06/09/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amended form is filed solely to report the previously reported grants of Restricted and Performance Stock Units in Table II rather than Table I and to the correct the total beneficial ownership of common stock reported in Table I.

Reporting Owners 2

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- (2) No transactions occurred among these shares. Intended only to reflect number of shares beneficially owned.
- (3) Represents the grant of Restricted Stock Units. The shares vest as follows: 25% on May 19 2017, 25% on May 19, 2018, 25% on May 19, 2020.
- (4) Represents the grant of Restricted Stock Units. 100% of the shares vest on 05/18/2017.
- Represents performance-based restricted stock units (PSUs) that will vest between 0% and 150% of the target number of shares (the number of shares listed in box 4) on May 18, 2019 based on the Issuers total stockholder return relative to the KBW Regional Banking Index. The PSUs were issued to the Reporting Person pursuant to the Issuers 2009 Equity Incentive Plan and Performance Award Agreement and are subject to forfeitures, accelerated vesting and other restrictions as set forth in the plan and the agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.